

# Congruence-Anticongruence Closure

Ján Klůka

kluka@fmph.uniba.sk

Department of Applied Informatics  
Faculty of Mathematics, Physics And Informatics  
Comenius University Bratislava  
Slovakia

1<sup>st</sup> Doctoral Workshop on  
Mathematical and Engineering Methods in Computer Science,  
Znojmo, Czech Republic, 2005

- 1 **Motivation**
  - An Overview of Our Aims
  - Existing Solutions
- 2 A Small Step Towards a Suitable Proof Assistant
  - The Context and the Goal
  - Definitions
  - Clauses, Congruence, Anticongruence
  - CA-Closure
  - An Example
  - Proof System and Algorithm
- 3 Conclusion and Further Work

- 1 **Motivation**
  - An Overview of Our Aims
  - Existing Solutions
- 2 **A Small Step Towards a Suitable Proof Assistant**
  - The Context and the Goal
  - Definitions
  - Clauses, Congruence, Anticongruence
  - CA-Closure
  - An Example
  - Proof System and Algorithm
- 3 **Conclusion and Further Work**

- 1 **Motivation**
  - An Overview of Our Aims
  - Existing Solutions
- 2 **A Small Step Towards a Suitable Proof Assistant**
  - The Context and the Goal
  - Definitions
  - Clauses, Congruence, Anticongruence
  - CA-Closure
  - An Example
  - Proof System and Algorithm
- 3 **Conclusion and Further Work**

# An Overview of Our Aims

- Formal verification of declarative programs
  - ▶ programs in a comfortable conservative extension of Peano arithmetic
  - ▶ i.e., untyped first-order functional programs
  - ▶ assisted, but not automated verification (theorem proving)
- Teaching tool (*CL*)
- Plan on being more practical
  - ▶ modularization
  - ▶ compilation with in-place updates
- Our old but still used proof assistant

# An Overview of Our Aims

- Formal verification of declarative programs
  - ▶ programs in a comfortable conservative extension of Peano arithmetic
  - ▶ i.e., untyped first-order functional programs
  - ▶ assisted, but not automated verification (theorem proving)
- Teaching tool (*CL*)
- Plan on being more practical
  - ▶ modularization
  - ▶ compilation with in-place updates
- Our old but still used proof assistant

# An Overview of Our Aims

- Formal verification of declarative programs
  - ▶ programs in a comfortable conservative extension of Peano arithmetic
  - ▶ i.e., untyped first-order functional programs
  - ▶ assisted, but not automated verification (theorem proving)
- Teaching tool (*CL*)
- Plan on being more practical
  - ▶ modularization
  - ▶ compilation with in-place updates
- Our old but still used proof assistant
  - ▶ based on Shostak combination of decision procedures
  - ▶ no longer suits our needs
  - ▶ difficult to extend (especially to automatically use lemmas)
  - ▶ difficult to generate a proof from automated steps
  - ▶ too eager to rewrite  $\implies$  confusing (sometimes even for an experienced user)

# An Overview of Our Aims

- Formal verification of declarative programs
  - ▶ programs in a comfortable conservative extension of Peano arithmetic
  - ▶ i.e., untyped first-order functional programs
  - ▶ assisted, but not automated verification (theorem proving)
- Teaching tool (*CL*)
- Plan on being more practical
  - ▶ modularization
  - ▶ compilation with in-place updates
- Our old but still used proof assistant
  - ▶ based on Shostak combination of decision procedures
  - ▶ no longer suits our needs
    - difficult to extend (especially to automatically use lemmas)
    - difficult to generate a proof from automated steps
    - too eager to rewrite  $\implies$  confusing (sometimes even for an experienced user)



# An Overview of Our Aims

- Formal verification of declarative programs
  - ▶ programs in a comfortable conservative extension of Peano arithmetic
  - ▶ i.e., untyped first-order functional programs
  - ▶ assisted, but not automated verification (theorem proving)
- Teaching tool (*CL*)
- Plan on being more practical
  - ▶ modularization
  - ▶ compilation with in-place updates
- Our old but still used proof assistant
  - ▶ based on Shostak combination of decision procedures
  - ▶ no longer suits our needs
    - difficult to extend (especially to automatically use lemmas)
    - difficult to generate a proof from automated steps
    - too eager to rewrite  $\implies$  confusing (sometimes even for an experienced user)

# An Overview of Our Aims

- Formal verification of declarative programs
  - ▶ programs in a comfortable conservative extension of Peano arithmetic
  - ▶ i.e., untyped first-order functional programs
  - ▶ assisted, but not automated verification (theorem proving)
- Teaching tool (*CL*)
- Plan on being more practical
  - ▶ modularization
  - ▶ compilation with in-place updates
- Our old but still used proof assistant
  - ▶ based on Shostak combination of decision procedures
  - ▶ no longer suits our needs
    - difficult to extend (especially to automatically use lemmas)
    - difficult to generate a proof from automated steps
    - too eager to rewrite  $\implies$  confusing (sometimes even for an experienced user)

# Properties of a Suitable Proof Assistant

We would like the proof assistant to be

- simple
- able to automatically use already proved theorems
- able to generate an independently checkable step-by-step proof from automated inference
- non-confusing

# Properties of a Suitable Proof Assistant

We would like the proof assistant to be

- simple
- able to automatically use already proved theorems
- able to generate an independently checkable step-by-step proof from automated inference
- non-confusing

# Properties of a Suitable Proof Assistant

We would like the proof assistant to be

- simple
- able to automatically use already proved theorems
- able to generate an independently checkable step-by-step proof from automated inference
- non-confusing
  - finish the proof if possible
  - do not interfere otherwise (no automated rewriting, source of confusion)

# Properties of a Suitable Proof Assistant

We would like the proof assistant to be

- simple
- able to automatically use already proved theorems
- able to generate an independently checkable step-by-step proof from automated inference
- non-confusing
  - ▶ finish the proof if possible
  - ▶ do not interfere otherwise (no automated rewriting, source of confusion)

# Properties of a Suitable Proof Assistant

We would like the proof assistant to be

- simple
- able to automatically use already proved theorems
- able to generate an independently checkable step-by-step proof from automated inference
- non-confusing
  - ▶ finish the proof if possible
  - ▶ do not interfere otherwise (no automated rewriting, source of confusion)

# Properties of a Suitable Proof Assistant

We would like the proof assistant to be

- simple
- able to automatically use already proved theorems
- able to generate an independently checkable step-by-step proof from automated inference
- non-confusing
  - ▶ finish the proof if possible
  - ▶ do not interfere otherwise (no automated rewriting, source of confusion)



# Existing Solutions

## Two main schools of assisted theorem proving

### “West-Coast”

- Nelson-Oppen
- Shostak (PVS)
- combination of decision procedures for *built-in* “small theories”
- powerful, but no automated use of already proved lemmas
- rarely generate checkable proofs
- Shostak: long history of problems with correctness
- Shostak: canonization (i.e., rewriting) is central

### “East-Coast (and European?)”

# Existing Solutions

Two main schools of assisted theorem proving

## “West-Coast”

- Nelson-Oppen
- Shostak (PVS)
- combination of decision procedures for *built-in* “small theories”
- powerful, but no automated use of already proved lemmas
- rarely generate checkable proofs
- Shostak: long history of problems with correctness
- Shostak: canonization (i.e., rewriting) is central

## “East-Coast (and European?)”

# Existing Solutions

Two main schools of assisted theorem proving

## “West-Coast”

- Nelson-Oppen
- Shostak (PVS)
  - combination of decision procedures for *built-in* “small theories”
  - powerful, but no automated use of already proved lemmas
  - rarely generate checkable proofs
  - Shostak: long history of problems with correctness
  - Shostak: canonization (i.e., rewriting) is central

## “East-Coast (and European?)”

# Existing Solutions

Two main schools of assisted theorem proving

## “West-Coast”

- Nelson-Oppen
- Shostak (PVS)
- combination of decision procedures for *built-in* “small theories”
  - powerful, but no automated use of already proved lemmas
  - rarely generate checkable proofs
  - Shostak: long history of problems with correctness
  - Shostak: canonization (i.e., rewriting) is central

## “East-Coast (and European?)”

# Existing Solutions

Two main schools of assisted theorem proving

## “West-Coast”

- Nelson-Oppen
- Shostak (PVS)
- combination of decision procedures for *built-in* “small theories”
- powerful, but no automated use of already proved lemmas
- rarely generate checkable proofs
- Shostak: long history of problems with correctness
- Shostak: canonization (i.e., rewriting) is central

## “East-Coast (and European?)”

# Existing Solutions

Two main schools of assisted theorem proving

## “West-Coast”

- Nelson-Oppen
- Shostak (PVS)
- combination of decision procedures for *built-in* “small theories”
- powerful, but no automated use of already proved lemmas
- rarely generate checkable proofs
- Shostak: long history of problems with correctness
- Shostak: canonization (i.e., rewriting) is central

## “East-Coast (and European?)”

# Existing Solutions

Two main schools of assisted theorem proving

## “West-Coast”

- Nelson-Oppen
- Shostak (PVS)
- combination of decision procedures for *built-in* “small theories”
- powerful, but no automated use of already proved lemmas
- rarely generate checkable proofs
- Shostak: long history of problems with correctness
- Shostak: canonization (i.e., rewriting) is central

## “East-Coast (and European?)”

# Existing Solutions

Two main schools of assisted theorem proving

## “West-Coast”

- Nelson-Oppen
- Shostak (PVS)
- combination of decision procedures for *built-in* “small theories”
- powerful, but no automated use of already proved lemmas
- rarely generate checkable proofs
- Shostak: long history of problems with correctness
- Shostak: canonization (i.e., rewriting) is central

## “East-Coast (and European?)”

- written in ML (HOL, nuPRL, Isabelle)
- proof procedures (strategies) always generate step-by-step proof



# Existing Solutions

Two main schools of assisted theorem proving

## “West-Coast”

- Nelson-Oppen
- Shostak (PVS)
- combination of decision procedures for *built-in* “small theories”
- powerful, but no automated use of already proved lemmas
- rarely generate checkable proofs
- Shostak: long history of problems with correctness
- Shostak: canonization (i.e., rewriting) is central

## “East-Coast (and European?)”

- written in ML (HOL, nuPRL, Isabelle)
- proof procedures (strategies) always generate step-by-step proof
- correctness ensured by ML-typing
- tied to higher-order logic (HO unification)
- rewriting

# Existing Solutions

Two main schools of assisted theorem proving

## “West-Coast”

- Nelson-Oppen
- Shostak (PVS)
- combination of decision procedures for *built-in* “small theories”
- powerful, but no automated use of already proved lemmas
- rarely generate checkable proofs
- Shostak: long history of problems with correctness
- Shostak: canonization (i.e., rewriting) is central

## “East-Coast (and European?)”

- written in ML (HOL, nuPRL, Isabelle)
- proof procedures (strategies) always generate step-by-step proof
- correctness ensured by ML-typing
- tied to higher-order logic (HO unification)
- rewriting

# Existing Solutions

Two main schools of assisted theorem proving

## “West-Coast”

- Nelson-Oppen
- Shostak (PVS)
- combination of decision procedures for *built-in* “small theories”
- powerful, but no automated use of already proved lemmas
- rarely generate checkable proofs
- Shostak: long history of problems with correctness
- Shostak: canonization (i.e., rewriting) is central

## “East-Coast (and European?)”

- written in ML (HOL, nuPRL, Isabelle)
- proof procedures (strategies) always generate step-by-step proof
- correctness ensured by ML-typing
- tied to higher-order logic (HO unification)
- rewriting

# Existing Solutions

Two main schools of assisted theorem proving

## “West-Coast”

- Nelson-Oppen
- Shostak (PVS)
- combination of decision procedures for *built-in* “small theories”
- powerful, but no automated use of already proved lemmas
- rarely generate checkable proofs
- Shostak: long history of problems with correctness
- Shostak: canonization (i.e., rewriting) is central

## “East-Coast (and European?)”

- written in ML (HOL, nuPRL, Isabelle)
- proof procedures (strategies) always generate step-by-step proof
- correctness ensured by ML-typing
- tied to higher-order logic (HO unification)
- rewriting

# Existing Solutions

Two main schools of assisted theorem proving

## “West-Coast”

- Nelson-Oppen
- Shostak (PVS)
- combination of decision procedures for *built-in* “small theories”
- powerful, but no automated use of already proved lemmas
- rarely generate checkable proofs
- Shostak: long history of problems with correctness
- Shostak: canonization (i.e., rewriting) is central

## “East-Coast (and European?)”

- written in ML (HOL, nuPRL, Isabelle)
- proof procedures (strategies) always generate step-by-step proof
- correctness ensured by ML-typing
- tied to higher-order logic (HO unification)
- rewriting

# Existing Solutions

Two main schools of assisted theorem proving

## “West-Coast”

- Nelson-Oppen
- Shostak (PVS)
- combination of decision procedures for *built-in* “small theories”
- powerful, but no automated use of already proved lemmas
- rarely generate checkable proofs
- Shostak: long history of problems with correctness
- Shostak: canonization (i.e., rewriting) is central

## “East-Coast (and European?)”

- written in ML (HOL, nuPRL, Isabelle)
- proof procedures (strategies) always generate step-by-step proof
- correctness ensured by ML-typing
- tied to higher-order logic (HO unification)
- rewriting

# Outline

- 1 Motivation
  - An Overview of Our Aims
  - Existing Solutions
- 2 **A Small Step Towards a Suitable Proof Assistant**
  - The Context and the Goal
  - Definitions
  - Clauses, Congruence, Anticongruence
  - CA-Closure
  - An Example
  - Proof System and Algorithm
- 3 Conclusion and Further Work

# The Context and the Goal

- **Formal system: Gentzen sequent calculus or tableaux for first-order formulas with equalities**
- State of the proof is given by a *sequent*  $\Gamma \Rightarrow \Delta$ 
  - $\Gamma, \Delta$  are sets of first-order formulas
  - all assumptions  $\Gamma$  hold; among others axioms and lemmas
  - all lemmas are *usable* assumptions
- Sufficient to prove  $\Gamma' \Rightarrow \Delta'$  for some  $\Gamma' \subseteq \Gamma, \Delta' \subseteq \Delta$
- Minimal useful choice of  $\Gamma', \Delta'$  for automation:
- Our choice: *closed, quantifier-free formulas*
- $\mathcal{N}\mathcal{P}$ -complete, but. . .



# The Context and the Goal

- Formal system: Gentzen sequent calculus or tableaux for first-order formulas with equalities
- State of the proof is given by a *sequent*  $\Gamma \Rightarrow \Delta$ 
  - ▶  $\Gamma, \Delta$  are sets of first-order formulas
  - ▶ all *assumptions*  $\Gamma$  hold; among others axioms and lemmas
  - ▶ at least one *goal* of  $\Delta$  to prove
- Sufficient to prove  $\Gamma' \Rightarrow \Delta'$  for some  $\Gamma' \subseteq \Gamma, \Delta' \subseteq \Delta$
- Minimal useful choice of  $\Gamma', \Delta'$  for automation:
- Our choice: *closed, quantifier-free formulas*
- $\mathcal{N}\mathcal{P}$ -complete, but. . .

# The Context and the Goal

- Formal system: Gentzen sequent calculus or tableaux for first-order formulas with equalities
- State of the proof is given by a *sequent*  $\Gamma \Rightarrow \Delta$ 
  - ▶  $\Gamma, \Delta$  are sets of first-order formulas
  - ▶ all *assumptions*  $\Gamma$  hold; among others axioms and lemmas
  - ▶ at least one *goal* of  $\Delta$  to prove
- Sufficient to prove  $\Gamma' \Rightarrow \Delta'$  for some  $\Gamma' \subseteq \Gamma, \Delta' \subseteq \Delta$
- Minimal useful choice of  $\Gamma', \Delta'$  for automation:
- Our choice: *closed, quantifier-free formulas*
- $\mathcal{N}\mathcal{P}$ -complete, but. . .

# The Context and the Goal

- Formal system: Gentzen sequent calculus or tableaux for first-order formulas with equalities
- State of the proof is given by a *sequent*  $\Gamma \Rightarrow \Delta$ 
  - ▶  $\Gamma, \Delta$  are sets of first-order formulas
  - ▶ all *assumptions*  $\Gamma$  hold; among others axioms and lemmas
  - ▶ at least one *goal* of  $\Delta$  to prove
- Sufficient to prove  $\Gamma' \Rightarrow \Delta'$  for some  $\Gamma' \subseteq \Gamma, \Delta' \subseteq \Delta$
- Minimal useful choice of  $\Gamma', \Delta'$  for automation:
  - Our choice: *closed, quantifier-free formulas*
  - $\mathcal{N}\mathcal{P}$ -complete, but. . .

# The Context and the Goal

- Formal system: Gentzen sequent calculus or tableaux for first-order formulas with equalities
- State of the proof is given by a *sequent*  $\Gamma \Rightarrow \Delta$ 
  - ▶  $\Gamma, \Delta$  are sets of first-order formulas
  - ▶ all *assumptions*  $\Gamma$  hold; among others axioms and lemmas
  - ▶ at least one *goal* of  $\Delta$  to prove
- Sufficient to prove  $\Gamma' \Rightarrow \Delta'$  for some  $\Gamma' \subseteq \Gamma, \Delta' \subseteq \Delta$
- Minimal useful choice of  $\Gamma', \Delta'$  for automation:
  - Our choice: *closed, quantifier-free formulas*
  - $\mathcal{K}\mathcal{S}$ -complete, but...

# The Context and the Goal

- Formal system: Gentzen sequent calculus or tableaux for first-order formulas with equalities
- State of the proof is given by a *sequent*  $\Gamma \Rightarrow \Delta$ 
  - ▶  $\Gamma, \Delta$  are sets of first-order formulas
  - ▶ all *assumptions*  $\Gamma$  hold; among others axioms and lemmas
  - ▶ at least one *goal* of  $\Delta$  to prove
- Sufficient to prove  $\Gamma' \Rightarrow \Delta'$  for some  $\Gamma' \subseteq \Gamma, \Delta' \subseteq \Delta$
- Minimal useful choice of  $\Gamma', \Delta'$  for automation:
  - $\Gamma'$  and  $\Delta'$  consist of  $s = t$  of closed (ground) terms
  - $\Delta'$  is decidable by the *decision procedure* for equality in  $\mathcal{C}(\text{logic})$
- Our choice: *closed, quantifier-free formulas*
- $\mathcal{K}\mathcal{S}$ -complete, but...

# The Context and the Goal

- Formal system: Gentzen sequent calculus or tableaux for first-order formulas with equalities
- State of the proof is given by a *sequent*  $\Gamma \Rightarrow \Delta$ 
  - ▶  $\Gamma, \Delta$  are sets of first-order formulas
  - ▶ all *assumptions*  $\Gamma$  hold; among others axioms and lemmas
  - ▶ at least one *goal* of  $\Delta$  to prove
- Sufficient to prove  $\Gamma' \Rightarrow \Delta'$  for some  $\Gamma' \subseteq \Gamma, \Delta' \subseteq \Delta$
- Minimal useful choice of  $\Gamma', \Delta'$  for automation:
  - ▶ *equalities (identities)*  $s = t$  of closed (ground) terms
  - ▶ decidable by the *congruence closure algorithm* in  $O(n \log n)$
- Our choice: *closed, quantifier-free formulas*
- $\mathcal{K}$ -complete, but...

# The Context and the Goal

- Formal system: Gentzen sequent calculus or tableaux for first-order formulas with equalities
- State of the proof is given by a *sequent*  $\Gamma \Rightarrow \Delta$ 
  - ▶  $\Gamma, \Delta$  are sets of first-order formulas
  - ▶ all *assumptions*  $\Gamma$  hold; among others axioms and lemmas
  - ▶ at least one *goal* of  $\Delta$  to prove
- Sufficient to prove  $\Gamma' \Rightarrow \Delta'$  for some  $\Gamma' \subseteq \Gamma, \Delta' \subseteq \Delta$
- Minimal useful choice of  $\Gamma', \Delta'$  for automation:
  - ▶ *equalities (identities)*  $s = t$  of closed (ground) terms
  - ▶ decidable by the *congruence closure algorithm* in  $O(n \log n)$
- Our choice: *closed, quantifier-free formulas*
- $\mathcal{NP}$ -complete, but. . .

# The Context and the Goal

- Formal system: Gentzen sequent calculus or tableaux for first-order formulas with equalities
- State of the proof is given by a *sequent*  $\Gamma \Rightarrow \Delta$ 
  - ▶  $\Gamma, \Delta$  are sets of first-order formulas
  - ▶ all *assumptions*  $\Gamma$  hold; among others axioms and lemmas
  - ▶ at least one *goal* of  $\Delta$  to prove
- Sufficient to prove  $\Gamma' \Rightarrow \Delta'$  for some  $\Gamma' \subseteq \Gamma, \Delta' \subseteq \Delta$
- Minimal useful choice of  $\Gamma', \Delta'$  for automation:
  - ▶ *equalities (identities)*  $s = t$  of closed (ground) terms
  - ▶ decidable by the *congruence closure algorithm* in  $O(n \log n)$
- Our choice: *closed, quantifier-free formulas*
- *NP*-complete, but. . .



# The Context and the Goal

- Formal system: Gentzen sequent calculus or tableaux for first-order formulas with equalities
- State of the proof is given by a *sequent*  $\Gamma \Rightarrow \Delta$ 
  - ▶  $\Gamma, \Delta$  are sets of first-order formulas
  - ▶ all *assumptions*  $\Gamma$  hold; among others axioms and lemmas
  - ▶ at least one *goal* of  $\Delta$  to prove
- Sufficient to prove  $\Gamma' \Rightarrow \Delta'$  for some  $\Gamma' \subseteq \Gamma, \Delta' \subseteq \Delta$
- Minimal useful choice of  $\Gamma', \Delta'$  for automation:
  - ▶ *equalities (identities)*  $s = t$  of closed (ground) terms
  - ▶ decidable by the *congruence closure algorithm* in  $O(n \log n)$
- Our choice: *closed, quantifier-free formulas*
- $\mathcal{NP}$ -complete, but. . .

# The Context and the Goal

- Formal system: Gentzen sequent calculus or tableaux for first-order formulas with equalities
- State of the proof is given by a *sequent*  $\Gamma \Rightarrow \Delta$ 
  - ▶  $\Gamma, \Delta$  are sets of first-order formulas
  - ▶ all *assumptions*  $\Gamma$  hold; among others axioms and lemmas
  - ▶ at least one *goal* of  $\Delta$  to prove
- Sufficient to prove  $\Gamma' \Rightarrow \Delta'$  for some  $\Gamma' \subseteq \Gamma, \Delta' \subseteq \Delta$
- Minimal useful choice of  $\Gamma', \Delta'$  for automation:
  - ▶ *equalities (identities)*  $s = t$  of closed (ground) terms
  - ▶ decidable by the *congruence closure algorithm* in  $O(n \log n)$
- Our choice: *closed, quantifier-free formulas*
- $\mathcal{NP}$ -complete, but. . .

# Closed, Quantifier-Free Formulas with Equalities

## Definition

### Language $\mathcal{L}$ :

- countable set of function and relation symbols, *arity* of each symbol
- no variables; at least one constant–function symbol  $0$  of arity  $0$

Terms  $(s, t, \dots)$  defined inductively: the set of all  $f(t_1, \dots, t_n)$

- $f$  is a function symbol of arity  $n \geq 0$ ,
- if  $n > 0$ ,  $t_1, \dots, t_n$  are terms

### Atomic formulas

- $s = t$  for terms  $s, t$
- $R(t_1, \dots, t_n)$  for terms  $t_i, i = 1, \dots, n$

Formulas  $(A, B, \dots)$  defined inductively

- atomic formulas
- $\top, \perp, \neg A, A \wedge B, A \vee B, A \rightarrow B$ , if  $A, B$  are formulas
- $\Gamma \Rightarrow \Delta$  (*sequent*) if  $\Gamma, \Delta$  are *finite* sets of formulas
- no variables  $\implies$  no quantifiers

# Closed, Quantifier-Free Formulas with Equalities

## Definition

### Language $\mathcal{L}$ :

- countable set of function and relation symbols, *arity* of each symbol
- no variables; at least one constant–function symbol  $0$  of arity  $0$

### Terms $(s, t, \dots)$ defined inductively: the set of all $f(t_1, \dots, t_n)$

- $f$  is a function symbol of arity  $n \geq 0$ ,
- if  $n > 0$ ,  $t_1, \dots, t_n$  are terms

### Atomic formulas

- $s = t$  for terms  $s, t$
- $R(t_1, \dots, t_n)$  for terms  $t_i, i = 1, \dots, n$

### Formulas $(A, B, \dots)$ defined inductively

- atomic formulas
- $\top, \perp, \neg A, A \wedge B, A \vee B, A \rightarrow B$ , if  $A, B$  are formulas
- $\Gamma \Rightarrow \Delta$  (*sequent*) if  $\Gamma, \Delta$  are *finite* sets of formulas
- no variables  $\implies$  no quantifiers

# Closed, Quantifier-Free Formulas with Equalities

## Definition

### Language $\mathcal{L}$ :

- countable set of function and relation symbols, *arity* of each symbol
- no variables; at least one constant–function symbol  $0$  of arity  $0$

### Terms $(s, t, \dots)$ defined inductively: the set of all $f(t_1, \dots, t_n)$

- $f$  is a function symbol of arity  $n \geq 0$ ,
- if  $n > 0$ ,  $t_1, \dots, t_n$  are terms

### Atomic formulas

- $s = t$  for terms  $s, t$
- $R(t_1, \dots, t_n)$  for terms  $t_i, i = 1, \dots, n$

### Formulas $(A, B, \dots)$ defined inductively

- atomic formulas
- $\top, \perp, \neg A, A \wedge B, A \vee B, A \rightarrow B$ , if  $A, B$  are formulas
- $\Gamma \Rightarrow \Delta$  (*sequent*) if  $\Gamma, \Delta$  are *finite* sets of formulas
- no variables  $\implies$  no quantifiers

# Closed, Quantifier-Free Formulas with Equalities

## Definition

### Language $\mathcal{L}$ :

- countable set of function and relation symbols, *arity* of each symbol
- no variables; at least one constant–function symbol  $0$  of arity  $0$

### Terms $(s, t, \dots)$ defined inductively: the set of all $f(t_1, \dots, t_n)$

- $f$  is a function symbol of arity  $n \geq 0$ ,
- if  $n > 0$ ,  $t_1, \dots, t_n$  are terms

### Atomic formulas

- $s = t$  for terms  $s, t$
- $R(t_1, \dots, t_n)$  for terms  $t_i, i = 1, \dots, n$

### Formulas $(A, B, \dots)$ defined inductively

- atomic formulas
- $\top, \perp, \neg A, A \wedge B, A \vee B, A \rightarrow B$ , if  $A, B$  are formulas
- $\Gamma \Rightarrow \Delta$  (*sequent*) if  $\Gamma, \Delta$  are *finite* sets of formulas
- no variables  $\implies$  no quantifiers

# Quantifier-Free Formulas with Equality

## Semantics

- Standard notion of *structure*  $\mathcal{M}$  :
  - ▶ domain  $D$
  - ▶  $f^{\mathcal{M}} : D^n \rightarrow D$
  - ▶  $R^{\mathcal{M}} \subseteq D^n$
- Recursively defined *valuation* of terms  $t^{\mathcal{M}}$
- Satisfaction relation  $\mathcal{M} \models A$ 
  - ▶  $\mathcal{M} \models s = t$  iff  $s^{\mathcal{M}} = t^{\mathcal{M}}$
  - ▶  $\mathcal{M} \models R(s_1, \dots, s_n)$  iff  $(s_1^{\mathcal{M}}, \dots, s_n^{\mathcal{M}}) \in R^{\mathcal{M}}$
  - ▶  $\mathcal{M} \models A \wedge B$  iff  $\mathcal{M} \models A$  and  $\mathcal{M} \models B$
  - ▶ ...
- $\mathcal{M} \models A$  and  $\mathcal{M}' \models A$  whenever  $\mathcal{M} \equiv \mathcal{M}'$  for all  $A \in \mathcal{L}$   
 then there is a  $\mathcal{M}''$  such that  $\mathcal{M} \equiv \mathcal{M}''$
- Consequence  $T \models A$ 
  - ▶ for all  $\mathcal{M}$  such that  $\mathcal{M} \models T, \mathcal{M} \models A$

# Quantifier-Free Formulas with Equality

## Semantics

- Standard notion of *structure*  $\mathcal{M}$  :
  - ▶ domain  $D$
  - ▶  $f^{\mathcal{M}} : D^n \rightarrow D$
  - ▶  $R^{\mathcal{M}} \subseteq D^n$
- Recursively defined *valuation* of terms  $t^{\mathcal{M}}$
- Satisfaction relation  $\mathcal{M} \models A$ 
  - ▶  $\mathcal{M} \models s = t$  iff  $s^{\mathcal{M}} = t^{\mathcal{M}}$
  - ▶  $\mathcal{M} \models R(s_1, \dots, s_n)$  iff  $(s_1^{\mathcal{M}}, \dots, s_n^{\mathcal{M}}) \in R^{\mathcal{M}}$
  - ▶  $\mathcal{M} \models A \wedge B$  iff  $\mathcal{M} \models A$  and  $\mathcal{M} \models B$
  - ...
  - ▶  $\mathcal{M} \models \Gamma \Rightarrow \Delta$  iff whenever  $\mathcal{M} \models A$  for all  $A \in \Gamma$ , then there is a  $B \in \Delta$  such that  $\mathcal{M} \models B$
- Consequence  $T \models A$ 
  - ▶ for all  $\mathcal{M}$  such that  $\mathcal{M} \models T$ ,  $\mathcal{M} \models A$



# Quantifier-Free Formulas with Equality

## Semantics

- Standard notion of *structure*  $\mathcal{M}$  :
  - ▶ domain  $D$
  - ▶  $f^{\mathcal{M}} : D^n \rightarrow D$
  - ▶  $R^{\mathcal{M}} \subseteq D^n$
- Recursively defined *valuation* of terms  $t^{\mathcal{M}}$
- Satisfaction relation  $\mathcal{M} \models A$ 
  - ▶  $\mathcal{M} \models s = t$  iff  $s^{\mathcal{M}} = t^{\mathcal{M}}$
  - ▶  $\mathcal{M} \models R(s_1, \dots, s_n)$  iff  $(s_1^{\mathcal{M}}, \dots, s_n^{\mathcal{M}}) \in R^{\mathcal{M}}$
  - ▶  $\mathcal{M} \models A \wedge B$  iff  $\mathcal{M} \models A$  and  $\mathcal{M} \models B$
  - ▶ ...
  - ▶  $\mathcal{M} \models \Gamma \Rightarrow \Delta$  iff whenever  $\mathcal{M} \models A$  for all  $A \in \Gamma$ , then there is a  $B \in \Delta$  such that  $\mathcal{M} \models B$
- Consequence  $T \models A$ 
  - ▶ for all  $\mathcal{M}$  such that  $\mathcal{M} \models T$ ,  $\mathcal{M} \models A$

# Quantifier-Free Formulas with Equality

## Semantics

- Standard notion of *structure*  $\mathcal{M}$  :
  - ▶ domain  $D$
  - ▶  $f^{\mathcal{M}} : D^n \rightarrow D$
  - ▶  $R^{\mathcal{M}} \subseteq D^n$
- Recursively defined *valuation* of terms  $t^{\mathcal{M}}$
- Satisfaction relation  $\mathcal{M} \models A$ 
  - ▶  $\mathcal{M} \models s = t$  iff  $s^{\mathcal{M}} = t^{\mathcal{M}}$
  - ▶  $\mathcal{M} \models R(s_1, \dots, s_n)$  iff  $(s_1^{\mathcal{M}}, \dots, s_n^{\mathcal{M}}) \in R^{\mathcal{M}}$
  - ▶  $\mathcal{M} \models A \wedge B$  iff  $\mathcal{M} \models A$  and  $\mathcal{M} \models B$
  - ▶ ...
  - ▶  $\mathcal{M} \models \Gamma \Rightarrow \Delta$  iff whenever  $\mathcal{M} \models A$  for all  $A \in \Gamma$ , then there is a  $B \in \Delta$  such that  $\mathcal{M} \models B$
- Consequence  $T \models A$ 
  - ▶ for all  $\mathcal{M}$  such that  $\mathcal{M} \models T$ ,  $\mathcal{M} \models A$

# Quantifier-Free Formulas with Equality

## Semantics

- Standard notion of *structure*  $\mathcal{M}$  :
  - ▶ domain  $D$
  - ▶  $f^{\mathcal{M}} : D^n \rightarrow D$
  - ▶  $R^{\mathcal{M}} \subseteq D^n$
- Recursively defined *valuation* of terms  $t^{\mathcal{M}}$
- Satisfaction relation  $\mathcal{M} \models A$ 
  - ▶  $\mathcal{M} \models s = t$  iff  $s^{\mathcal{M}} = t^{\mathcal{M}}$
  - ▶  $\mathcal{M} \models R(s_1, \dots, s_n)$  iff  $(s_1^{\mathcal{M}}, \dots, s_n^{\mathcal{M}}) \in R^{\mathcal{M}}$
  - ▶  $\mathcal{M} \models A \wedge B$  iff  $\mathcal{M} \models A$  and  $\mathcal{M} \models B$
  - ▶ ...
  - ▶  $\mathcal{M} \models \Gamma \Rightarrow \Delta$  iff whenever  $\mathcal{M} \models A$  for all  $A \in \Gamma$ , then there is a  $B \in \Delta$  such that  $\mathcal{M} \models B$
- Consequence  $T \models A$ 
  - ▶ for all  $\mathcal{M}$  such that  $\mathcal{M} \models T$ ,  $\mathcal{M} \models A$

# Congruence and Congruence Closure

The congruence closure algorithm:

- a data structure representing an *equivalence* over terms

**reflexivity**  $s = s$

**symmetry**  $s = t \rightarrow t = s$

**transitivity**  $s = t \wedge t = u \rightarrow s = u$

- iterative closing under *congruence*  
(the substitution axiom for function symbols)

$$s_1 = t_1 \wedge \dots \wedge s_n = t_n \rightarrow f(s_1, \dots, s_n) = f(t_1, \dots, t_n)$$

- the sequent form of congruence:

$$s_1 = t_1, \dots, s_n = t_n \Rightarrow f(s_1, \dots, s_n) = f(t_1, \dots, t_n)$$

# Congruence and Congruence Closure

The congruence closure algorithm:

- a data structure representing an *equivalence* over terms

**reflexivity**  $s = s$

**symmetry**  $s = t \rightarrow t = s$

**transitivity**  $s = t \wedge t = u \rightarrow s = u$

- iterative closing under *congruence*  
(the substitution axiom for function symbols)

$$s_1 = t_1 \wedge \dots \wedge s_n = t_n \rightarrow f(s_1, \dots, s_n) = f(t_1, \dots, t_n)$$

- the sequent form of congruence:

$$s_1 = t_1, \dots, s_n = t_n \Rightarrow f(s_1, \dots, s_n) = f(t_1, \dots, t_n)$$

# Congruence and Congruence Closure

The congruence closure algorithm:

- a data structure representing an *equivalence* over terms

**reflexivity**  $s = s$

**symmetry**  $s = t \rightarrow t = s$

**transitivity**  $s = t \wedge t = u \rightarrow s = u$

- iterative closing under *congruence*  
(the substitution axiom for function symbols)

$$s_1 = t_1 \wedge \dots \wedge s_n = t_n \rightarrow f(s_1, \dots, s_n) = f(t_1, \dots, t_n)$$

- the sequent form of congruence:

$$s_1 = t_1, \dots, s_n = t_n \Rightarrow f(s_1, \dots, s_n) = f(t_1, \dots, t_n)$$

# Horn Clauses and Congruence

## The Key Observation

A *Horn clause* with only equalities as atomic formulas

$$s_1 \neq t_1 \vee \dots \vee s_n \neq t_n \vee u = v$$

sequent form

$$s_1 = t_1, \dots, s_n = t_n \Rightarrow u = v$$

is equivalent to

$$g(s_1, \dots, s_n) = u$$

$$g(t_1, \dots, t_n) = v$$

plus congruence

$$s_1 = t_1, \dots, s_n = t_n \Rightarrow g(s_1, \dots, s_n) = g(t_1, \dots, t_n)$$

for a *new* function symbol  $g$

# Horn Clauses and Congruence

## The Key Observation

A *Horn clause* with only equalities as atomic formulas

$$s_1 \neq t_1 \vee \dots \vee s_n \neq t_n \vee u = v$$

sequent form

$$s_1 = t_1, \dots, s_n = t_n \Rightarrow u = v$$

is equivalent to

$$g(s_1, \dots, s_n) = u$$

$$g(t_1, \dots, t_n) = v$$

plus congruence

$$s_1 = t_1, \dots, s_n = t_n \Rightarrow g(s_1, \dots, s_n) = g(t_1, \dots, t_n)$$

for a *new* function symbol  $g$



# Horn Clauses and Congruence

## The Key Observation

A *Horn clause* with only equalities as atomic formulas

$$s_1 \neq t_1 \vee \dots \vee s_n \neq t_n \vee u = v$$

sequent form

$$s_1 = t_1, \dots, s_n = t_n \Rightarrow u = v$$

is equivalent to

$$g(s_1, \dots, s_n) = u$$

$$g(t_1, \dots, t_n) = v$$

plus congruence

$$s_1 = t_1, \dots, s_n = t_n \Rightarrow g(s_1, \dots, s_n) = g(t_1, \dots, t_n)$$

for a *new* function symbol  $g$

# Horn Clauses and Congruence

## The Key Observation

A *Horn clause* with only equalities as atomic formulas

$$s_1 \neq t_1 \vee \dots \vee s_n \neq t_n \vee u = v$$

sequent form

$$s_1 = t_1, \dots, s_n = t_n \Rightarrow u = v$$

is equivalent to

$$g(s_1, \dots, s_n) = u$$

$$g(t_1, \dots, t_n) = v$$

plus congruence

$$s_1 = t_1, \dots, s_n = t_n \Rightarrow g(s_1, \dots, s_n) = g(t_1, \dots, t_n)$$

for a *new* function symbol  $g$

# Clauses and Anticongruence

A general *clause* with only equalities as atomic formulas (sequent form)

$$s_1 = t_1, \dots, s_n = t_n \Rightarrow u_1 = v_1, \dots, u_m = v_m$$

is equivalent to

$$g(s_1, \dots, s_n) = h(u_1, \dots, u_m)$$

$$g(t_1, \dots, t_n) = h(v_1, \dots, v_m)$$

plus congruence

$$s_1 = t_1, \dots, s_n = t_n \Rightarrow g(s_1, \dots, s_n) = g(t_1, \dots, t_n)$$

for a *new* function symbol  $g$

plus *anticongruence*

$$h(u_1, \dots, u_m) = h(v_1, \dots, v_m) \Rightarrow u_1 = v_1, \dots, u_m = v_m$$

for a *new anticongruence function symbol*  $h$

# Clauses and Anticongruence

A general *clause* with only equalities as atomic formulas (sequent form)

$$s_1 = t_1, \dots, s_n = t_n \Rightarrow u_1 = v_1, \dots, u_m = v_m$$

is equivalent to

$$g(s_1, \dots, s_n) = h(u_1, \dots, u_m)$$

$$g(t_1, \dots, t_n) = h(v_1, \dots, v_m)$$

plus congruence

$$s_1 = t_1, \dots, s_n = t_n \Rightarrow g(s_1, \dots, s_n) = g(t_1, \dots, t_n)$$

for a *new* function symbol  $g$

plus *anticongruence*

$$h(u_1, \dots, u_m) = h(v_1, \dots, v_m) \Rightarrow u_1 = v_1, \dots, u_m = v_m$$

for a *new anticongruence function symbol*  $h$

# Clauses and Anticongruence

A general *clause* with only equalities as atomic formulas (sequent form)

$$s_1 = t_1, \dots, s_n = t_n \Rightarrow u_1 = v_1, \dots, u_m = v_m$$

is equivalent to

$$g(s_1, \dots, s_n) = h(u_1, \dots, u_m)$$

$$g(t_1, \dots, t_n) = h(v_1, \dots, v_m)$$

plus congruence

$$s_1 = t_1, \dots, s_n = t_n \Rightarrow g(s_1, \dots, s_n) = g(t_1, \dots, t_n)$$

for a *new* function symbol  $g$

plus *anticongruence*

$$h(u_1, \dots, u_m) = h(v_1, \dots, v_m) \Rightarrow u_1 = v_1, \dots, u_m = v_m$$

for a *new anticongruence function symbol*  $h$

# Clauses and Anticongruence

A general *clause* with only equalities as atomic formulas (sequent form)

$$s_1 = t_1, \dots, s_n = t_n \Rightarrow u_1 = v_1, \dots, u_m = v_m$$

is equivalent to

$$g(s_1, \dots, s_n) = h(u_1, \dots, u_m)$$

$$g(t_1, \dots, t_n) = h(v_1, \dots, v_m)$$

plus congruence

$$s_1 = t_1, \dots, s_n = t_n \Rightarrow g(s_1, \dots, s_n) = g(t_1, \dots, t_n)$$

for a *new* function symbol  $g$

plus *anticongruence*

$$h(u_1, \dots, u_m) = h(v_1, \dots, v_m) \Rightarrow u_1 = v_1, \dots, u_m = v_m$$

for a *new anticongruence function symbol*  $h$

# CA-Closure for Assisted Theorem Proving

- Equality is central, must always be handled automatically (by congruence closure)
- Propositional part can be reduced to equality and handled by *anticongruence closure*
- Several steps are needed:

# CA-Closure for Assisted Theorem Proving

- Equality is central, must always be handled automatically (by congruence closure)
- Propositional part can be reduced to equality and handled by *anticongruence closure*
- Several steps are needed:

- Elimination of relation symbols

- (makes equality the only relation symbol)

- Transformation to conjunctive normal form

- (set of sequents with only atomic members – clauses)

- Elimination of function symbols by using Skolem functions

- (removes function symbols by replacing them with new function symbols)

- Skolemization

- (removes existential quantifiers by replacing them with new function symbols)



# CA-Closure for Assisted Theorem Proving

- Equality is central, must always be handled automatically (by congruence closure)
- Propositional part can be reduced to equality and handled by *anticongruence closure*
- Several steps are needed:
  - ▶ Elimination of relation symbols (makes equality the only relation symbol)
  - ▶ Transformation to conjunctive normal form (set of sequents with only atomic members—clauses)
  - ▶ Replacement of sequents by new special function symbols and equalities
  - ▶ Proof system for equalities with special function symbols
  - ▶ Algorithm for the proof system

# CA-Closure for Assisted Theorem Proving

- Equality is central, must always be handled automatically (by congruence closure)
- Propositional part can be reduced to equality and handled by *anticongruence closure*
- Several steps are needed:
  - ▶ Elimination of relation symbols (makes equality the only relation symbol)
  - ▶ Transformation to conjunctive normal form (set of sequents with only atomic members—clauses)
  - ▶ Replacement of sequents by new special function symbols and equalities
  - ▶ Proof system for equalities with special function symbols
  - ▶ Algorithm for the proof system

# CA-Closure for Assisted Theorem Proving

- Equality is central, must always be handled automatically (by congruence closure)
- Propositional part can be reduced to equality and handled by *anticongruence closure*
- Several steps are needed:
  - ▶ Elimination of relation symbols (makes equality the only relation symbol)
  - ▶ Transformation to conjunctive normal form (set of sequents with only atomic members—clauses)
  - ▶ Replacement of sequents by new special function symbols and equalities
  - ▶ Proof system for equalities with special function symbols
  - ▶ Algorithm for the proof system

# CA-Closure for Assisted Theorem Proving

- Equality is central, must always be handled automatically (by congruence closure)
- Propositional part can be reduced to equality and handled by *anticongruence closure*
- Several steps are needed:
  - ▶ Elimination of relation symbols (makes equality the only relation symbol)
  - ▶ Transformation to conjunctive normal form (set of sequents with only atomic members—clauses)
  - ▶ Replacement of sequents by new special function symbols and equalities
  - ▶ Proof system for equalities with special function symbols
  - ▶ Algorithm for the proof system

# CA-Closure for Assisted Theorem Proving

- Equality is central, must always be handled automatically (by congruence closure)
- Propositional part can be reduced to equality and handled by *anticongruence closure*
- Several steps are needed:
  - ▶ Elimination of relation symbols (makes equality the only relation symbol)
  - ▶ Transformation to conjunctive normal form (set of sequents with only atomic members—clauses)
  - ▶ Replacement of sequents by new special function symbols and equalities
  - ▶ Proof system for equalities with special function symbols
  - ▶ Algorithm for the proof system

# CA-Closure for Assisted Theorem Proving

- Equality is central, must always be handled automatically (by congruence closure)
- Propositional part can be reduced to equality and handled by *anticongruence closure*
- Several steps are needed:
  - ▶ Elimination of relation symbols (makes equality the only relation symbol)
  - ▶ Transformation to conjunctive normal form (set of sequents with only atomic members—clauses)
  - ▶ Replacement of sequents by new special function symbols and equalities
  - ▶ Proof system for equalities with special function symbols
  - ▶ Algorithm for the proof system

# An Example

## Part 1 of 2

- Initial closed, quantifier-free part of the sequent to be proved

$$\left. \begin{array}{l} a < b \wedge b < c \rightarrow a < c, \\ a < b \vee b < a, \\ b < c, b = d \end{array} \right\} \Rightarrow a < c, d < a$$

- Elimination of relation symbols

$$\left. \begin{array}{l} \langle_*(a, b) = 0 \wedge \langle_*(b, c) = 0 \rightarrow \langle_*(a, c) = 0, \\ \langle_*(a, b) = 0 \vee \langle_*(b, a) = 0, \\ \langle_*(d, c) = 0, b = d \end{array} \right\} \Rightarrow \langle_*(a, c) = 0, \langle_*(d, a) = 0$$

- Transformation to CNF

$$\begin{aligned} \langle_*(a, b) = 0, \langle_*(b, c) = 0 &\Rightarrow \langle_*(a, c) = 0, & \Rightarrow \langle_*(a, b) = 0, \langle_*(b, a) = 0, \\ \Rightarrow \langle_*(b, c) = 0, \Rightarrow b = d, &\langle_*(a, c) = 0 \Rightarrow &, \langle_*(d, a) = 0 \Rightarrow \end{aligned}$$

Avoid exponential blow-up

# An Example

## Part 1 of 2

- Initial closed, quantifier-free part of the sequent to be proved

$$\left. \begin{array}{l} a < b \wedge b < c \rightarrow a < c, \\ a < b \vee b < a, \\ b < c, b = d \end{array} \right\} \Rightarrow a < c, d < a$$

- Elimination of relation symbols

$$\left. \begin{array}{l} \color{red}{<_*}(a, b) = 0 \wedge <_*(b, c) = 0 \rightarrow <_*(a, c) = 0, \\ <_*(a, b) = 0 \vee <_*(b, a) = 0, \\ <_*(d, c) = 0, b = d \end{array} \right\} \Rightarrow <_*(a, c) = 0, <_*(d, a) = 0$$

- Transformation to CNF

$$\begin{aligned} <_*(a, b) = 0, <_*(b, c) = 0 \Rightarrow <_*(a, c) = 0, & \Rightarrow <_*(a, b) = 0, <_*(b, a) = 0, \\ \Rightarrow <_*(b, c) = 0, \Rightarrow b = d, <_*(a, c) = 0 \Rightarrow & , <_*(d, a) = 0 \Rightarrow \end{aligned}$$

Avoid exponential blow-up



# An Example

## Part 1 of 2

- Initial closed, quantifier-free part of the sequent to be proved

$$\left. \begin{array}{l} a < b \wedge b < c \rightarrow a < c, \\ a < b \vee b < a, \\ b < c, b = d \end{array} \right\} \Rightarrow a < c, d < a$$

- Elimination of relation symbols

$$\left. \begin{array}{l} <_*(a, b) = 0 \wedge <_*(b, c) = 0 \rightarrow <_*(a, c) = 0, \\ <_*(a, b) = 0 \vee <_*(b, a) = 0, \\ <_*(d, c) = 0, b = d \end{array} \right\} \Rightarrow <_*(a, c) = 0, <_*(d, a) = 0$$

- Transformation to CNF

$$\begin{aligned} <_*(a, b) = 0, <_*(b, c) = 0 &\Rightarrow <_*(a, c) = 0, &&\Rightarrow <_*(a, b) = 0, <_*(b, a) = 0, \\ \Rightarrow <_*(b, c) = 0, \Rightarrow b = d, <_*(a, c) = 0 &\Rightarrow &&, <_*(d, a) = 0 \Rightarrow \end{aligned}$$

Avoid exponential blow-up

# An Example

## Part 1 of 2

- Initial closed, quantifier-free part of the sequent to be proved

$$\left. \begin{array}{l} a < b \wedge b < c \rightarrow a < c, \\ a < b \vee b < a, \\ b < c, b = d \end{array} \right\} \Rightarrow a < c, d < a$$

- Elimination of relation symbols

$$\left. \begin{array}{l} <_*(a, b) = 0 \wedge <_*(b, c) = 0 \rightarrow <_*(a, c) = 0, \\ <_*(a, b) = 0 \vee <_*(b, a) = 0, \\ <_*(d, c) = 0, b = d \end{array} \right\} \Rightarrow <_*(a, c) = 0, <_*(d, a) = 0$$

- Transformation to CNF<sup>1</sup>

$$\begin{aligned} <_*(a, b) = 0, <_*(b, c) = 0 &\Rightarrow <_*(a, c) = 0, &&\Rightarrow <_*(a, b) = 0, <_*(b, a) = 0, \\ \Rightarrow <_*(b, c) = 0, \Rightarrow b = d, <_*(a, c) = 0 &\Rightarrow \mathbf{0 = 1}, &&<_*(d, a) = 0 \Rightarrow \mathbf{0 = 1} \end{aligned}$$

Avoid exponential blow-up

# An Example

## Part 2 of 2

- After transformation to CNF<sup>1</sup>

$$\langle_*(a, b) = 0, \langle_*(b, c) = 0 \Rightarrow \langle_*(a, c) = 0$$

$$\Rightarrow \langle_*(a, b) = 0, \langle_*(b, a) = 0,$$

$$\Rightarrow \langle_*(b, c) = 0, \Rightarrow b = d,$$

$$\langle_*(a, c) = 0 \Rightarrow 0 = 1,$$

$$\langle_*(d, a) = 0 \Rightarrow 0 = 1$$

- Reduction of sequents to equalities

$$g_1(\langle_*(a, b), \langle_*(b, c)) = \langle_*(a, c), \quad g_1(0, 0) = 0$$

$$h_2(\langle_*(a, b), \langle_*(b, a)) = h_2(0, 0),$$

$$\langle_*(b, c) = 0, \quad b = d,$$

$$g_5(\langle_*(a, c)) = 0, \quad g_5(0) = 1,$$

$$g_6(\langle_*(d, a)) = 0, \quad g_6(0) = 1$$

# An Example

## Part 2 of 2

- After transformation to CNF<sup>1</sup>

$$\langle_*(a, b) = 0, \langle_*(b, c) = 0 \Rightarrow \langle_*(a, c) = 0$$

$$\Rightarrow \langle_*(a, b) = 0, \langle_*(b, a) = 0,$$

$$\Rightarrow \langle_*(b, c) = 0, \quad \Rightarrow b = d,$$

$$\langle_*(a, c) = 0 \Rightarrow 0 = 1,$$

$$\langle_*(d, a) = 0 \Rightarrow 0 = 1$$

- Reduction of sequents to equalities

$$g_1(\langle_*(a, b), \langle_*(b, c)) = \langle_*(a, c), \quad g_1(0, 0) = 0$$

$$h_2(\langle_*(a, b), \langle_*(b, a)) = h_2(0, 0),$$

$$\langle_*(b, c) = 0, \quad b = d,$$

$$g_5(\langle_*(a, c)) = 0, \quad g_5(0) = 1,$$

$$g_6(\langle_*(d, a)) = 0, \quad g_6(0) = 1$$

# Proof System and Algorithm

- The CA-proof system

- ▶ C-rule

$$\frac{f(\vec{s}) = f(\vec{t}), \Gamma}{\Gamma} \quad \text{if } \Gamma \vDash_e \vec{s} = \vec{t}$$

- ▶ A-rule

$$\frac{u_1 = v_1, \Gamma \mid \dots \mid u_m = v_m, \Gamma}{\Gamma}$$

if there is an anticongruence symbol  $h$  such that

$$\Gamma \vDash_e h(u_1, \dots, u_m) = h(v_1, \dots, v_m)$$

- The CA-algorithm

- Branching causes exponential running time

# Proof System and Algorithm

- The CA-proof system

- ▶ C-rule

$$\frac{f(\vec{s}) = f(\vec{t}), \Gamma}{\Gamma} \quad \text{if } \Gamma \models_e \vec{s} = \vec{t}$$

- ▶ A-rule

$$\frac{u_1 = v_1, \Gamma \mid \dots \mid u_m = v_m, \Gamma}{\Gamma}$$

if there is an anticongruence symbol  $h$  such that

$$\Gamma \models_e h(u_1, \dots, u_m) = h(v_1, \dots, v_m)$$

- The CA-algorithm

- Branching causes exponential running time

# Proof System and Algorithm

- The CA-proof system

- ▶ C-rule

$$\frac{f(\vec{s}) = f(\vec{t}), \Gamma}{\Gamma} \quad \text{if } \Gamma \models_e \vec{s} = \vec{t}$$

- ▶ A-rule

$$\frac{u_1 = v_1, \Gamma \mid \dots \mid u_m = v_m, \Gamma}{\Gamma}$$

if there is an anticongruence symbol  $h$  such that

$$\Gamma \models_e h(u_1, \dots, u_m) = h(v_1, \dots, v_m)$$

- The CA-algorithm

- 1. apply C-rule while possible (standard congruence closure)

- 2. if  $\Gamma \models_e 0 = 1$ , return proved

- 3. return failed

- Branching causes exponential running time

# Proof System and Algorithm

- The CA-proof system

- ▶ C-rule

$$\frac{f(\vec{s}) = f(\vec{t}), \Gamma}{\Gamma} \quad \text{if } \Gamma \models_e \vec{s} = \vec{t}$$

- ▶ A-rule

$$\frac{u_1 = v_1, \Gamma \mid \dots \mid u_m = v_m, \Gamma}{\Gamma}$$

if there is an anticongruence symbol  $h$  such that

$$\Gamma \models_e h(u_1, \dots, u_m) = h(v_1, \dots, v_m)$$

- The CA-algorithm

- 1 apply C-rule while possible (standard congruence closure)
- 2 if  $\Gamma \models_e 0 = 1$ , return proved
- 3 apply A-rule if possible

4 otherwise return a counterexample

- Branching causes exponential running time



# Proof System and Algorithm

- The CA-proof system

- ▶ C-rule

$$\frac{f(\vec{s}) = f(\vec{t}), \Gamma}{\Gamma} \quad \text{if } \Gamma \models_e \vec{s} = \vec{t}$$

- ▶ A-rule

$$\frac{u_1 = v_1, \Gamma \mid \dots \mid u_m = v_m, \Gamma}{\Gamma}$$

if there is an anticongruence symbol  $h$  such that

$$\Gamma \models_e h(u_1, \dots, u_m) = h(v_1, \dots, v_m)$$

- The CA-algorithm

- 1 apply C-rule while possible (standard congruence closure)
- 2 if  $\Gamma \models_e 0 = 1$ , return proved
- 3 apply A-rule if possible

4 otherwise return a counterexample

- Branching causes exponential running time

# Proof System and Algorithm

- The CA-proof system

- ▶ C-rule

$$\frac{f(\vec{s}) = f(\vec{t}), \Gamma}{\Gamma} \quad \text{if } \Gamma \models_e \vec{s} = \vec{t}$$

- ▶ A-rule

$$\frac{u_1 = v_1, \Gamma \mid \dots \mid u_m = v_m, \Gamma}{\Gamma}$$

if there is an anticongruence symbol  $h$  such that

$$\Gamma \models_e h(u_1, \dots, u_m) = h(v_1, \dots, v_m)$$

- The CA-algorithm

- 1 apply C-rule while possible (standard congruence closure)

- 2 if  $\Gamma \models_e 0 = 1$ , return proved

- 3 apply A-rule if possible

- 4 call the CA-algorithm recursively for each branch

- 5 return proved if all recursive calls returned proved

- 6 otherwise return a counterexample

- Branching causes exponential running time

# Proof System and Algorithm

- The CA-proof system

- ▶ C-rule

$$\frac{f(\vec{s}) = f(\vec{t}), \Gamma}{\Gamma} \quad \text{if } \Gamma \models_e \vec{s} = \vec{t}$$

- ▶ A-rule

$$\frac{u_1 = v_1, \Gamma \mid \dots \mid u_m = v_m, \Gamma}{\Gamma}$$

if there is an anticongruence symbol  $h$  such that

$$\Gamma \models_e h(u_1, \dots, u_m) = h(v_1, \dots, v_m)$$

- The CA-algorithm

- 1 apply C-rule while possible (standard congruence closure)

- 2 if  $\Gamma \models_e 0 = 1$ , return proved

- 3 apply A-rule if possible

- 1 call the CA-algorithm recursively for each branch

- 2 return proved if all recursive calls returned proved

- 4 otherwise return a counterexample

- Branching causes exponential running time

# Proof System and Algorithm

- The CA-proof system

- ▶ C-rule

$$\frac{f(\vec{s}) = f(\vec{t}), \Gamma}{\Gamma} \quad \text{if } \Gamma \models_e \vec{s} = \vec{t}$$

- ▶ A-rule

$$\frac{u_1 = v_1, \Gamma \mid \dots \mid u_m = v_m, \Gamma}{\Gamma}$$

if there is an anticongruence symbol  $h$  such that  
 $\Gamma \models_e h(u_1, \dots, u_m) = h(v_1, \dots, v_m)$

- The CA-algorithm

- 1 apply C-rule while possible (standard congruence closure)
- 2 if  $\Gamma \models_e 0 = 1$ , return proved
- 3 apply A-rule if possible
  - 1 call the CA-algorithm recursively for each branch
  - 2 return proved if all recursive calls returned proved
- 4 otherwise return a counterexample

- Branching causes exponential running time

# Proof System and Algorithm

- The CA-proof system

- ▶ C-rule

$$\frac{f(\vec{s}) = f(\vec{t}), \Gamma}{\Gamma} \quad \text{if } \Gamma \models_e \vec{s} = \vec{t}$$

- ▶ A-rule

$$\frac{u_1 = v_1, \Gamma \mid \dots \mid u_m = v_m, \Gamma}{\Gamma}$$

if there is an anticongruence symbol  $h$  such that

$$\Gamma \models_e h(u_1, \dots, u_m) = h(v_1, \dots, v_m)$$

- The CA-algorithm

- ① apply C-rule while possible (standard congruence closure)
- ② if  $\Gamma \models_e 0 = 1$ , return proved
- ③ apply A-rule if possible
  - ① call the CA-algorithm recursively for each branch
  - ② return proved if all recursive calls returned proved
- ④ otherwise return a counterexample

- Branching causes exponential running time

# Proof System and Algorithm

- The CA-proof system

- ▶ C-rule

$$\frac{f(\vec{s}) = f(\vec{t}), \Gamma}{\Gamma} \quad \text{if } \Gamma \models_e \vec{s} = \vec{t}$$

- ▶ A-rule

$$\frac{u_1 = v_1, \Gamma \mid \dots \mid u_m = v_m, \Gamma}{\Gamma}$$

if there is an anticongruence symbol  $h$  such that  
 $\Gamma \models_e h(u_1, \dots, u_m) = h(v_1, \dots, v_m)$

- The CA-algorithm

- 1 apply C-rule while possible (standard congruence closure)
- 2 if  $\Gamma \models_e 0 = 1$ , return proved
- 3 apply A-rule if possible
  - 1 call the CA-algorithm recursively for each branch
  - 2 return proved if all recursive calls returned proved
- 4 otherwise return a counterexample

- Branching causes exponential running time

# Proof System and Algorithm

- The CA-proof system

- ▶ C-rule

$$\frac{f(\vec{s}) = f(\vec{t}), \Gamma}{\Gamma} \quad \text{if } \Gamma \models_e \vec{s} = \vec{t}$$

- ▶ A-rule

$$\frac{u_1 = v_1, \Gamma \mid \dots \mid u_m = v_m, \Gamma}{\Gamma}$$

if there is an anticongruence symbol  $h$  such that  
 $\Gamma \models_e h(u_1, \dots, u_m) = h(v_1, \dots, v_m)$

- The CA-algorithm

- 1 apply C-rule while possible (standard congruence closure)
- 2 if  $\Gamma \models_e 0 = 1$ , return proved
- 3 apply A-rule if possible
  - 1 call the CA-algorithm recursively for each branch
  - 2 return proved if all recursive calls returned proved
- 4 otherwise return a counterexample

- Branching causes exponential running time

# Proof System and Algorithm

- The CA-proof system

- ▶ C-rule

$$\frac{f(\vec{s}) = f(\vec{t}), \Gamma}{\Gamma} \quad \text{if } \Gamma \models_e \vec{s} = \vec{t}$$

- ▶ A-rule

$$\frac{u_1 = v_1, \Gamma \mid \dots \mid u_m = v_m, \Gamma}{\Gamma}$$

if there is an anticongruence symbol  $h$  such that  
 $\Gamma \models_e h(u_1, \dots, u_m) = h(v_1, \dots, v_m)$

- The CA-algorithm

- ① apply C-rule while possible (standard congruence closure)
- ② if  $\Gamma \models_e 0 = 1$ , return proved
- ③ apply A-rule if possible
  - ① call the CA-algorithm recursively for each branch
  - ② return proved if all recursive calls returned proved
- ④ otherwise return a counterexample

- Branching causes exponential running time, **but...**



# Conclusion and Further Work

- Congruence closure can be more useful than it seems
- Can derive from Horn clauses
- Can be extended to deal with any propositional content of formulas by “symmetric” anticongruences
- Pilot implementation only
- Work in progress on adding formulas

$$\forall x(s_1 = t_1, \dots, s_n = t_n \Rightarrow u_1 = v_1, \dots, u_m = v_m)$$

Will enable automated use of most common axioms and lemmas

# Conclusion and Further Work

- Congruence closure can be more useful than it seems
- Can derive from Horn clauses
- Can be extended to deal with any propositional content of formulas by “symmetric” anticongruences
- Pilot implementation only
- Work in progress on adding formulas

$$\forall x(s_1 = t_1, \dots, s_n = t_n \Rightarrow u_1 = v_1, \dots, u_m = v_m)$$

Will enable automated use of most common axioms and lemmas

# Conclusion and Further Work

- Congruence closure can be more useful than it seems
- Can derive from Horn clauses
- Can be extended to deal with any propositional content of formulas by “symmetric” anticongruences
- Pilot implementation only
- Work in progress on adding formulas

$$\forall x(s_1 = t_1, \dots, s_n = t_n \Rightarrow u_1 = v_1, \dots, u_m = v_m)$$

Will enable automated use of most common axioms and lemmas

# Conclusion and Further Work

- Congruence closure can be more useful than it seems
- Can derive from Horn clauses
- Can be extended to deal with any propositional content of formulas by “symmetric” anticongruences
- Pilot implementation only
- Work in progress on adding formulas

$$\forall x(s_1 = t_1, \dots, s_n = t_n \Rightarrow u_1 = v_1, \dots, u_m = v_m)$$

Will enable automated use of most common axioms and lemmas

# Conclusion and Further Work

- Congruence closure can be more useful than it seems
- Can derive from Horn clauses
- Can be extended to deal with any propositional content of formulas by “symmetric” anticongruences
- Pilot implementation only
- Work in progress on adding formulas

$$\forall x(s_1 = t_1, \dots, s_n = t_n \Rightarrow u_1 = v_1, \dots, u_m = v_m)$$

Will enable automated use of most common axioms and lemmas

*Thank you!*