# **Elaborating domain descriptions**

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**Abstract.** In this work we address the problem of *elaborating* domain descriptions (alias action theories), in particular those that are expressed in dynamic logic. We define a general method based on contraction of formulas in a version of propositional dynamic logic with a solution to the frame problem. We present the semantics of our theory change and define syntactical operators for contracting a domain description. We establish soundness and completeness of the operators w.r.t. the semantics for descriptions that satisfy a principle of modularity that we have defined in previous work.

#### **1 INTRODUCTION**

Suppose a situation where an agent has always believed that if the light switch is up, then the room is light. Suppose now that someday, she observes that even if the switch is up, the light is off. In such a case, the agent must change her beliefs about the relation between the propositions "the switch is up" and "the light is on". This is an example of changing propositional belief bases and is largely addressed in the literature about belief change [10] and update [24].

Next, let our agent believe that whenever the switch is down, after toggling it, the room is light. This means that if the light is off, in every state of the world that follows the execution of toggling the switch, the room is lit up. Then, during a blackout, the agent toggles the switch and surprisingly the room is still dark.

Imagine now that the agent never worried about the relation between toggling the switch and the material it is made of, in the sense that she ever believed that just toggling the switch does not break it. Nevertheless, in a stressful day, she toggles the switch and then observes that she had broken it.

Completing the wayside cross our agent experiments in discovering the world's behavior, suppose she believed that it is always possible to toggle the switch, given some conditions e.g. being close enough to it, having a free hand, the switch is not broken, etc. However, in an April fool's day, she discovers that someone has glued the switch and, consequently, it is no longer possible to toggle it.

The last three examples illustrate situations where changing the beliefs about the behavior of the action of toggling the switch is mandatory. In the first one, toggling the switch, once believed to be deterministic, has now to be seen as nondeterministic, or alternatively to have a different outcome in a specific context (e.g. if the power station is overloaded). In the second example, toggling the switch is known to have side-effects (ramifications) one was not aware of. In the last example, the executability of the action under concern is questioned in the light of new information showing a context that was not known to preclude its execution. Carrying out such modifications is what we here call *elaborating* a domain description, which has to do with the principle of *elaboration tolerance* [28].

Such cases of theory change are very important when one deals with logical descriptions of dynamic domains: it may always happen that one discovers that an action actually has a behavior that is different from that one has always believed it had.

Up to now, theory change has been studied mainly for knowledge bases in classical logics, both in terms of revision and update. Only in a few recent works it has been considered in the realm of modal logics, viz. in epistemic logic [12] and in action languages [7]. Recently, several works [31, 21] have investigated revision of beliefs about facts of the world. In our examples, this would concern e.g. the current status of the switch: the agent believes it is up, but is wrong about this and might subsequently be forced to revise her beliefs about the current state of affairs. Such revision operations do not modify the agent's beliefs about the action laws. In opposition to that, here we are interested exactly in such modifications. The aim of this paper is to make a step toward that issue and propose a framework that deals with the contraction of action theories.

Propositional dynamic logic (PDL [13]), has been extensively used in reasoning about actions in the last years [2, 36, 8]. It has shown to be a viable alternative to situation calculus approaches because of its simplicity and existence of proof procedures for it. In this work we investigate the elaboration of domain descriptions encoded in a simplified version of such a logical formalism, viz. the multimodal logic  $K_n$ . We show how a theory expressed in terms of static laws, effect laws and executability laws is elaborated: usually, a law has to be changed due to its generality, i.e., the law is too strong and has to be weakened. It follows that elaborating an action theory means contracting it by static, effect or executability laws, before expanding the theory with more specific laws.

## 2 BACKGROUND

Following the tradition in the reasoning about actions community, action theories are collections of statements of the form: "if *context*, then *effect* after *every execution* of *action*" (effect laws); and "if *precondition*, then *action executable*" (executability laws). Statements mentioning no action at all represent laws about the world (static laws). Besides that, statements of the form "if *context*, then *effect* after *some execution* of *action*" will be used as a causal notion to solve the frame and the ramification problems.

# 2.1 Logical preliminaries

Let  $\mathfrak{Act} = \{a_1, a_2, \ldots\}$  be the set of all *atomic actions* of a given domain, an example of which is *toggle*. To each atomic action *a* there is associated a modal operator [a].  $\mathfrak{Prop} = \{p_1, p_2, \ldots\}$  denotes all the *propositional constants* (alias *fluents* or *atoms*). Examples of those are *light* ("the light is on") and *up* ("the switch is up"). The set of all literals is  $\mathfrak{Lit} = \mathfrak{Prop} \cup \{\neg p : p \in \mathfrak{Prop}\}$ .

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 $\mathfrak{Fml}$  is the set of all classical formulas. They are denoted by small Greek letters  $\varphi, \psi, \ldots$  An example is  $up \rightarrow light$ . By  $val(\varphi)$  we denote the set of valuations making  $\varphi$  true. We view a valuation as a maximally-consistent set of literals. For  $\mathfrak{Prop} = \{light, up\}$ , there are four valuations:  $\{light, up\}$ ,  $\{light, \neg up\}$ ,  $\{\neg light, up\}$ and  $\{\neg light, \neg up\}$ . Given a set of formulas  $\Sigma$ , by  $lit(\Sigma)$  we denote the set of all literals appearing in formulas of  $\Sigma$ .

We denote complex formulas (with modal operators) by  $\Phi, \Psi, \ldots$  $\langle a \rangle$  is the dual operator of [a], defined as  $\langle a \rangle \Phi =_{def} \neg [a] \neg \Phi$ . An example of a complex formula is  $\neg up \rightarrow [toggle]up$ . The semantics is that of multimodal logic K [29].

A K<sub>n</sub>-model is a tuple  $\mathscr{M} = \langle W, R \rangle$  where W is a set of valuations, and R a function mapping action constants a to accessibility relations  $R_a \subseteq W \times W$ . Given a K<sub>n</sub>-model  $\mathscr{M} = \langle W, R \rangle$ ,  $\models_w^{\mathscr{M}} p$  (p is true at world w of model  $\mathscr{M}$ ) if  $p \in w$ ;  $\models_w^{\mathscr{M}} [a] \Phi$  if for every w' such that  $wR_aw'$ ,  $\models_{w'}^{\mathscr{M}} \Phi$ . Truth conditions for other connectives are as usual.

#### **2.2** Describing the behavior of actions in $K_n$

 $K_n$  allows for the representation of statements describing the behavior of actions. They are called *action laws*. Here we distinguish several types of them. The first kind of statement represents the *static laws*, formulas that must hold in every possible state of the world.

**Definition 1** A static law is a formula  $\varphi \in \mathfrak{Fml}$ .

An example of a static law is  $up \rightarrow light$ : if the switch is up, then the light is on.  $S \subseteq \mathfrak{Fml}$  denotes all the static laws of a domain.

The second kind of law we consider are the *effect laws*. They are formulas relating an action to its effects, which can be conditional.

**Definition 2** An effect law for action a has the form  $\varphi \rightarrow [a]\psi$ , where  $\varphi, \psi \in \mathfrak{Fml}$ .

The consequent  $\psi$  is the effect always obtained when *a* is executed in a state where the antecedent  $\varphi$  holds.  $\mathcal{E}$  denotes the set of all effect laws of a domain, an example of which is  $\neg up \rightarrow [toggle]light$ : whenever the switch is down, after toggling it, the room is lit up. If  $\psi$  is inconsistent, we have a special kind of effect law that we call an *inexecutability law*. For example, *broken*  $\rightarrow [toggle] \perp$  says that *toggle* cannot be executed if the switch is broken.

Finally, we also define *executability laws*, which stipulate the context for an action to be executable. In  $K_n$ , we use  $\langle a \rangle$  to express executability.  $\langle a \rangle \top$  thus reads "the execution of a is possible".

**Definition 3** An executability law for action a is of the form  $\varphi \rightarrow \langle a \rangle \top$ , where  $\varphi \in \mathfrak{FmI}$ .

For instance,  $\neg broken \rightarrow \langle toggle \rangle \top$  says that toggling can be executed whenever the switch is not broken. The set of all executability laws of a given domain is denoted by  $\mathcal{X}$ .

#### **3 MODELS OF CONTRACTION**

When an action theory has to be changed, the basic operation is that of *contraction*. (In belief-base update [33, 24] it has also been called *erasure*.) In this section we define its semantics.

In general we might contract by any formula  $\Phi$ . Here we focus on contraction by one of the three kinds of laws. We therefore suppose that  $\Phi$  is either  $\varphi$ , where  $\varphi$  is classical, or  $\varphi \rightarrow [a]\psi$ , or  $\varphi \rightarrow \langle a \rangle \top$ .

For the case of contracting static laws we resort to existing approaches to change the set of static laws. In the following, we consider any belief change operator like Forbus' update method [9], the possible models approach [33, 34], WSS [14] or MPMA [6].

Contraction by  $\varphi$  corresponds to adding new possible worlds to *W*. Let  $\ominus$  be a given contraction operator for classical logic.

**Definition 4** Let  $\langle W, R \rangle$  be a  $K_n$ -model and  $\varphi$  a classical formula. The model resulting from contracting by  $\varphi$  is  $\langle W, R \rangle_{\varphi}^- = \{ \langle W', R \rangle \}$ such that  $W' = W \ominus val(\varphi)$ .

Observe that *R* should, a priori, change as well, otherwise contracting a classical formula may conflict with  $\mathcal{X}$ .<sup>2</sup> For instance, if  $\neg \varphi \rightarrow \langle a \rangle \top \in \mathcal{X}$  and we contract by  $\varphi$ , the result may make  $\mathcal{X}$  untrue. However, given the amount of information we have at hand, we think that whatever we do with *R* (adding or removing edges), we will always be able to find a counter-example to the intuitiveness of the operation, since it is domain dependent. For instance, adding edges for a deterministic action may render it nondeterministic. Deciding on what changes to carry out on *R* when contracting static laws depends on the user's intuition, and unfortunately this information cannot be generalized and established once for all. We here opt for a priori doing nothing with *R* and postponing correction of executability laws.

Action theories being defined in terms of effect and executability laws, elaborating an action theory will mainly involve changes in these two sets of laws. Let us consider now both these cases.

Suppose the knowledge engineer acquires new information regarding the effect of action *a*. Then it means that the law under consideration is probably too strong, i.e., the expected effect may not occur and thus the law has to be weakened. Consider e.g.  $\neg up \rightarrow [toggle]light$ , and suppose it has to be weakened to the more specific  $(\neg up \land \neg blackout) \rightarrow [toggle]light$ .<sup>3</sup> In order to carry out such a weakening, first the designer has to contract the set of effect laws and second to expand the resulting set with the weakened law.

Contraction by  $\varphi \to [a]\psi$  amounts to adding some 'counterexample' arrows from  $\varphi$ -worlds to  $\neg \psi$ -worlds. To ease such a task, we need a definition. Let  $PI(\varphi)$  denote the set of prime implicates of  $\varphi$ . If  $\varphi_1, \varphi_2 \in \mathfrak{Fml}$ ,  $NewCons_{\varphi_1}(\varphi_2) = PI(\varphi_1 \land \varphi_2) \setminus PI(\varphi_1)$ computes the *new consequences* of  $\varphi_2$  w.r.t.  $\varphi_1$ : the set of strongest clauses that follow from  $\varphi_1 \land \varphi_2$ , but do not follow from  $\varphi_1$  alone (cf. e.g. [20]). For example, the set of prime implicates of  $p_1$  is just  $\{p_1\}$ , that of  $p_1 \land (\neg p_1 \lor p_2) \land (\neg p_1 \lor p_3 \lor p_4)$  is  $\{p_1, p_2, p_3 \lor p_4\}$ , hence  $NewCons_{p_1}((\neg p_1 \lor p_2) \land (\neg p_1 \lor p_3 \lor p_4)) = \{p_2, p_3 \lor p_4\}$ .

**Definition 5** Let  $\langle W, R \rangle$  be a  $K_n$ -model and  $\varphi \to [a]\psi$  an effect law. The set of models that result from contracting by  $\varphi \to [a]\psi$  is  $\langle W, R \rangle_{\varphi \to [a]\psi}^- = \{\langle W, R \cup R'_a \rangle : R'_a \subseteq \{(w, w') : \models_w^{\langle W, R \rangle} \varphi, \models_{w'}^{\langle W, R \rangle} \neg \psi$  and  $w' \setminus w \subseteq lit(NewCons_{\mathcal{S}}(\neg \psi))\}\}.$ 

In our context,  $w' \setminus w \subseteq lit(NewCons_{\mathcal{S}}(\neg \psi))$  means that for all the added arrows, the new/extra effects of action *a* are limited to

<sup>&</sup>lt;sup>2</sup> We are indebted to the anonymous referees for pointing this out to us. <sup>3</sup> Replacing the law by  $\neg up \rightarrow [toggle](light \lor \neg light)$  looks silly.

the consequences of the static laws combined with  $\neg \psi$ , i.e., all the ramifications that action *a* can produce.

Suppose now the knowledge engineer learns new information about the executability of *a*. This usually occurs when some executabilities are too strong, i.e., the condition in the theory guaranteeing the executability of *a* is too weak and should be made more restrictive. Let e.g.  $\langle toggle \rangle \top$  be the law to be contracted, and suppose it has to be weakened to the more specific  $\neg broken \rightarrow \langle toggle \rangle \top$ . To do that, the designer first contracts the executability laws and then expands the resulting set with the weakened law.

Contraction by  $\varphi \rightarrow \langle a \rangle \top$  corresponds to removing some arrows leaving worlds where  $\varphi$  holds. Removing such arrows has as consequence that *a* is no longer always executable in context  $\varphi$ .

**Definition 6** Let  $\langle W, R \rangle$  be a  $K_n$ -model and  $\varphi \to \langle a \rangle \top$  an executability law. The set of models that result from the contraction by  $\varphi \to \langle a \rangle \top$  is  $\langle W, R \rangle_{\varphi \to \langle a \rangle \top}^- = \{ \langle W, R' \rangle : R' = R \setminus R''_a, R''_a \subseteq \{ (w, w') : wR_a w' \text{ and } \models_w^{\langle W, R \rangle} \varphi \} \}.$ 

#### **4 CONTRACTING AN ACTION THEORY**

Having established the semantics of action theory contraction, we can turn to its syntactical counterpart. Nevertheless, before doing that we have to consider an important issue. As the reader might have expected,  $K_n$  alone does not solve the frame problem. For instance,

$$\left\{\begin{array}{l}up \to light, \neg up \to [toggle]up,\\up \to [toggle]\neg up, \langle toggle \rangle \top\end{array}\right\} \not\models_{\mathsf{K}_n} broken \to [toggle]broken.$$

We need thus a consequence relation powerful enough to deal with the frame and ramification problems. Hence the deductive power of  $K_n$  has to be augmented to ensure that all the relevant frame axioms apply. Following the framework developed in [2], we consider metalogical information given in the form of dependence:

**Definition 7 (Dependence relation [2])** A dependence relation *is a binary relation*  $\rightarrow \subseteq \mathfrak{Act} \times \mathfrak{Lit}$ .

The expression  $a \rightsquigarrow l$  denotes that the execution of action *a may* change the truth value of the literal *l*. On the other hand,  $\langle a, l \rangle \notin \rightsquigarrow$  (written  $a \nleftrightarrow l$ ) means that *l* can never be caused by *a*. In our example we have *toggle*  $\rightsquigarrow$  *light* and *toggle*  $\rightsquigarrow$  *-light*, which means that action *toggle* may cause a change in literals *light* and *-light*. We do not have *toggle*  $\rightsquigarrow$  *-broken*, for toggling the switch never repairs it.

**Definition 8** A model of a dependence relation  $\rightsquigarrow$  is a  $\mathsf{K}_n$ -model  $\mathscr{M}$  such that  $\models^{\mathscr{M}} \{ \neg l \rightarrow [a] \neg l : a \not \rightarrow l \}.$ 

We assume  $\rightsquigarrow$  is finite. Given a dependence relation  $\rightsquigarrow$ , the associated consequence relation in the set of models for  $\rightsquigarrow$  is noted  $\models_{\sim}$ . For our example we obtain

$$\left\{\begin{array}{l}up \to light, \neg up \to [toggle]up,\\up \to [toggle]\neg up, \langle toggle \rangle \top\end{array}\right\} \models_{\rightarrow} broken \to [toggle]broken.$$

We have *toggle*  $\not\sim \neg broken$ , i.e.,  $\neg broken$  is never caused by *toggle*. Hence in all contexts where *broken* is true, after every execution of *toggle*, *broken* still remains true. This independence means that the frame axiom *broken*  $\rightarrow \lfloor toggle \rfloor broken$  is valid in the models of  $\sim$ .

Such a dependence-based approach has been shown [5] to subsume Reiter's solution to the frame problem [30] and moreover treats the ramification problem, even when actions with both indeterminate and indirect effects are involved [3, 16]. **Definition 9** An action theory is a tuple of the form  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle$ .

In our running example, the corresponding action theory is

$$\mathcal{S} = \{up \to light\}, \ \mathcal{E} = \{\neg up \to [toggle]up, up \to [toggle]\neg up\}$$
$$\mathcal{X} = \{\langle toggle \rangle \top\}, \ \rightsquigarrow = \left\{ \begin{array}{c} \langle toggle, light \rangle, \langle toggle, \neg light \rangle, \\ \langle toggle, up \rangle, \langle toggle, \neg up \rangle \end{array} \right\}$$

And we have  $\mathcal{S}, \mathcal{E}, \mathcal{X} \models_{\sim} \neg up \rightarrow [toggle]light.$  (For parsimony's sake, we write  $\mathcal{S}, \mathcal{E}, \mathcal{X} \models_{\sim} \Phi$  instead of  $\mathcal{S} \cup \mathcal{E} \cup \mathcal{X} \models_{\sim} \Phi$ .)

Let  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle$  be an action theory and  $\Phi$  a K<sub>n</sub>-formula.  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle_{\Phi}^{-}$  denotes the action theory resulting from the contraction of  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle$  by  $\Phi$ .

Contracting a theory by a static law  $\varphi$  amounts to using any existing contraction operator for classical logic. Let  $\ominus$  be such an operator. Moreover, based on [19], we also need to guarantee that  $\varphi$  does not follow from  $\mathcal{E}$ ,  $\mathcal{X}$  and  $\rightsquigarrow$ . We define contraction of a domain description by a static law as follows:

**Definition 10**  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle_{\varphi}^{-} = \langle S^{-}, \mathcal{E}, \mathcal{X}^{-}, \rightsquigarrow \rangle$ , where  $S^{-} = S \ominus \varphi$  and  $\mathcal{X}^{-} = \{(\varphi_{i} \land \varphi) \rightarrow \langle a \rangle \top : \varphi_{i} \rightarrow \langle a \rangle \top \in \mathcal{X}\}.$ 

We now consider contraction by an executability law  $\varphi \to \langle a \rangle \top$ . For every executability in  $\mathcal{X}$ , we ensure that *a* is executable only in contexts where  $\neg \varphi$  is true. The following operator does the job.

**Definition 11** 
$$\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle_{\varphi \to \langle a \rangle \top}^{-} = \langle S, \mathcal{E}, \mathcal{X}^{-}, \rightsquigarrow \rangle$$
, where  
 $\mathcal{X}^{-} = \{ (\varphi_i \land \neg \varphi) \to \langle a \rangle \top : \varphi_i \to \langle a \rangle \top \in \mathcal{X} \}.$ 

For instance, contracting glued  $\rightarrow \langle toggle \rangle \top$  in our example would give us  $\mathcal{X}^- = \{\neg glued \rightarrow \langle toggle \rangle \top \}.$ 

Finally, to contract a theory by  $\varphi \rightarrow [a]\psi$ , for every effect law in  $\mathcal{E}$ , we first ensure that *a* still has effect  $\psi$  whenever  $\varphi$  does not hold, second we enforce that *a* has no effect in context  $\neg \varphi$  except on those literals that are consequences of  $\neg \psi$ . Combining this with the new dependence relation also linking *a* to literals involved by  $\neg \psi$ , we have that *a* may now produce  $\neg \psi$  as outcome. In other words, the effect law has been contracted. The operator below formalizes this:

**Definition 12**  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle_{\varphi \to [a]\psi}^{-} = \langle S, \mathcal{E}^{-}, \mathcal{X}, \rightsquigarrow^{-} \rangle$ , where  $\sim^{-} = \sim \cup \{ \langle a, l \rangle : l \in lit(NewCons_{S}(\neg \psi)) \}$  and  $\mathcal{E}^{-} = \{ (\varphi_{i} \land \neg \varphi) \to [a]\psi : \varphi_{i} \to [a]\psi \in \mathcal{E} \} \cup \{ (\neg \varphi \land \neg l) \to [a]\neg l : \langle a, l \rangle \in (\sim^{-} \backslash \sim) \}.$ 

For instance, contracting the law *blackout*  $\rightarrow$  [*toggle*]*light* from our theory would give us  $\mathcal{E}^- = \{(\neg up \land \neg blackout) \rightarrow [toggle]up, (up \land \neg blackout) \rightarrow [toggle]\neg up\}.$ 

#### **5 RESULTS**

We here present the main results that follow from our framework. These require the action theory under analysis to be modular [19]. An action theory is modular if a formula of a given type entailed by the whole theory can also be derived solely from its respective module (the set of formulas of the same type) with the static laws S. As shown in [19], to make a domain description satisfy such a property it is enough to guarantee that there is no classical formula entailed by the theory that is not entailed by the static laws alone.

**Definition 13 (Implicit static law [17])**  $\varphi \in \mathfrak{Fml}$  *is an* implicit static law of  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle$  if and only if  $S, \mathcal{E}, \mathcal{X} \models_{\mathcal{A}} \varphi$  and  $S \not\models \varphi$ .

A theory is *modular* if it has no implicit static laws. Modularity of theories was originally defined in [19], but similar notions have also been addressed in the literature [4, 1, 35, 25]. A modularity-based approach for narrative reasoning about actions is given in [22].

To witness how implicit static laws can show up, consider the simple theory below, depicting the walking turkey scenario [32]:

$$S = \{ walking \rightarrow alive \}, \ \mathcal{E} = \left\{ \begin{array}{c} [tease] walking, \\ loaded \rightarrow [shoot] \neg alive \end{array} \right\}$$

 $\mathcal{X} = \left\{ \begin{array}{l} \langle \textit{tease} \rangle \top, \\ \langle \textit{shoot} \rangle \top \end{array} \right\}, \rightsquigarrow = \left\{ \begin{array}{l} \langle \textit{shoot}, \neg \textit{loaded} \rangle, \langle \textit{shoot}, \neg \textit{alive} \rangle, \\ \langle \textit{shoot}, \neg \textit{walking} \rangle, \langle \textit{tease}, \textit{walking} \rangle \end{array} \right\}$ 

With this description we have  $S, \mathcal{E}, \mathcal{X} \models alive$ .<sup>4</sup> As  $S \not\models alive$ , the formula *alive* is an implicit static law of  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle$ .

Modular theories have several advantages [17, 15]. For example, consistency of a modular action theory can be checked by just checking consistency of S: if  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle$  is modular, then  $S, \mathcal{E}, \mathcal{X} \models_{\sim} \bot$  if and only if  $S \models \bot$ . Deduction of an effect of a sequence of actions  $a_1; \ldots; a_n$  (prediction) needs not to take into account the effect laws for actions other than  $a_1, \ldots, a_n$ . This applies in particular to plan validation when deciding whether  $\langle a_1; \ldots; a_n \rangle \varphi$  is the case.

Throughout this work we use multimodal logic  $K_n$ . For an assessment of the modularity principle in the Situation Calculus, see [18].

Here we show that our operators are correct w.r.t. the semantics. The first theorem establishes that the semantical contraction of models of  $\langle \mathcal{S}, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle$  by  $\Phi$  produces models of  $\langle \mathcal{S}, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle_{\Phi}^{-}$ .

**Theorem 1** Let  $\langle W, R \rangle$  be a model of  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle$ , and let  $\Phi$  be a formula that has the form of one of the three laws. For all models  $\mathcal{M}$ , if  $\mathcal{M} \in \langle W, R \rangle_{\Phi}^{-}$ , then  $\mathcal{M}$  is a model of  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle_{\Phi}^{-}$ .

It remains to prove that the other way round, the models of  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle_{\Phi}^{-}$  result from the semantical contraction of models of  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle_{\Phi}$  by  $\Phi$ . This does not hold in general, as shown by the following example: suppose there is only one atom p and one action a, and consider the theory  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle$  such that  $S = \emptyset$ ,  $\mathcal{E} = \{p \rightarrow [a] \bot\}, \mathcal{X} = \{\langle a \rangle \top\}, \text{ and } \rightsquigarrow = \emptyset$ . The only model of that action theory is  $\mathscr{M} = \langle \{\{\neg p\}\}, \{(\{\neg p\}, \{\neg p\})\}\rangle$ . By definition,  $\mathscr{M}_{p \rightarrow \langle a \rangle \top}^{-} = \{\mathscr{M}\}$ . On the other hand,  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle_{p \rightarrow \langle a \rangle \top}^{-} = \langle \emptyset, \{p \rightarrow [a] \bot\}, \{\neg p \rightarrow \langle a \rangle \top\}, \emptyset \rangle$ . The contracted theory has *two* models:  $\mathscr{M}$  and  $\mathscr{M}' = \langle \{\{p\}, \{\neg p\}\}, (\{\neg p\}, \{\neg p\})\rangle$ . While  $\neg p$  is valid in the contraction of the models of  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle$ , it is invalid in the models of  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle_{p \rightarrow \langle a \rangle \top}^{-}$ .

Fortunately, we can establish a result for those action theories that are modular. The proof requires three lemmas. The first one says that for a modular theory we can restrict our attention to its 'big' models.

**Lemma 1** Let  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle$  be modular. Then  $S, \mathcal{E}, \mathcal{X} \models_{\sim} \Phi$  if and only if  $\models^{\langle W, R \rangle} \Phi$  for every model  $\langle W, R \rangle$  of  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle$  such that W = val(S).

Note that the lemma does not hold for non-modular theories (the set  $\{\langle W, R \rangle : \langle W, R \rangle$  is a model of  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle$  and W = val(S)} is empty then).

The second lemma says that contraction preserves modularity.

**Lemma 2** Let  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle$  be modular, and let  $\Phi$  be a formula of the form of one of the three laws. Then  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle_{\Phi}^{-}$  is modular.

The third one establishes the required link between the contraction operators and contraction of 'big' models.

**Lemma 3** Let  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle$  be modular, and let  $\Phi$  be a formula of the form of one of the three laws. If  $\mathscr{M}' = \langle val(S), R' \rangle$  is a model of  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle_{\overline{\Phi}}^{-}$ , then there is a model  $\mathscr{M}$  of  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle$  such that  $\mathscr{M}' \in \mathscr{M}_{\overline{\Phi}}^{-}$ .

Putting the three above lemmas together we get:

**Theorem 2** Let  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle$  be modular,  $\Phi$  be a formula of the form of one of the three laws, and  $\langle S^-, \mathcal{E}^-, \mathcal{X}^-, \rightsquigarrow^- \rangle$  be  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle_{\Phi}^-$ . If  $S^-, \mathcal{E}^-, \mathcal{X}^- \models_{\sim}^- \Psi$ , then for every model  $\mathscr{M}$ of  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle$  and every  $\mathscr{M}' \in \mathscr{M}_{\Phi}^-$  it holds that  $\models^{\mathscr{M}'} \Psi$ .

Our two theorems together establish correctness of the operators:

**Corollary 1** Let  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle$  be modular,  $\Phi$  be a formula of the form of one of the three laws, and  $\langle S^-, \mathcal{E}^-, \mathcal{X}^-, \rightsquigarrow^- \rangle$  be  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle_{\Phi}^-$ . Then  $S^-, \mathcal{E}^-, \mathcal{X}^- \models_{\sim}^- \Psi$  if and only if for every model  $\mathcal{M}$  of  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle$  and every  $\mathcal{M}' \in \mathcal{M}_{\Phi}^-$ ,  $\models^{\mathcal{M}'} \Psi$ .

We give a sufficient condition for contraction to be successful.

**Theorem 3** Let  $\Phi$  be an effect or an executability law such that  $S \not\models_{\mathsf{K}_n} \Phi$ , and let  $\langle S^-, \mathcal{E}^-, \mathcal{X}^-, \diamond^- \rangle$  be  $\langle S, \mathcal{E}, \mathcal{X}, \diamond \rangle_{\Phi}^-$ . If  $\langle S, \mathcal{E}, \mathcal{X}, \diamond \rangle$  is modular, then  $S^-, \mathcal{E}^-, \mathcal{X}^- \not\models_{\Phi^+} \Phi$ .

# 6 DISCUSSION AND CONCLUSION

In this work we have presented a general method for changing a domain description (alias action theory) given any formula we want to contract. We have defined a semantics for theory contraction and also presented its syntactical counterpart through contraction operators. Soundness and completeness of such operators with respect to the semantics have been established (Corollary 1).

We have also shown that modularity is a sufficient condition for a contraction to be successful (Theorem 3). This gives further evidence that the notion of modularity is fruitful.

What is the status of the AGM-postulates for contraction in our framework? First, contraction of static laws satisfies all the postulates, as soon as the underlying classical contraction operation  $\ominus$  satisfies all of them.

In the general case, however, our constructions do not satisfy the central postulate of preservation  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle_{\overline{\Phi}}^{-} = \langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle$  if  $S, \mathcal{E}, \mathcal{X} \not\models_{\sim} \Phi$ . Indeed, suppose we have only one atom p, and a model  $\mathscr{M}$  with two worlds  $w = \{p\}$  and  $w' = \{\neg p\}$  such that  $wR_aw', w'R_aw$ , and  $w'R_aw'$ . Then  $\models^{\mathscr{M}} p \to [a]\neg p$  and  $\not\models^{\mathscr{M}} [a]\neg p$ , i.e.,  $\mathscr{M}$  is a model of the effect law  $p \to [a]\neg p$ , but not of  $[a]\neg p$ . Now the contraction  $\mathscr{M}_{[a]\neg p}^{-}$  yields the model  $\mathscr{M}'$  such that  $R_a = W \times W$ . Then  $\not\models^{\mathscr{M}'} p \to [a]\neg p$ , i.e., the effect law  $p \to [a]\neg p$  is not preserved. Our contraction operation thus behaves rather like an update operation.

Now let us focus on the other postulates. Since our operator has a behavior which is close to the update postulate, we focus on the following basic erasure postulates introduced in [23]. Let  $Cn(\mathcal{T})$  be the set of all logical consequences of a theory  $\mathcal{T}$ .

<sup>&</sup>lt;sup>4</sup> Because first {*walking*  $\rightarrow$  *alive*, [*tease*]*walking*}  $\models_{\sim}$  [*tease*]*alive*, second  $\models_{\sim}$  ¬*alive*  $\rightarrow$  [*tease*]¬*alive* (from the independence *tease*  $\not$  *alive*), and then  $S, \mathcal{E} \models_{\sim}$  ¬*alive*  $\rightarrow$  [*tease*] $\perp$ . As long as  $S, \mathcal{E}, \mathcal{X} \models_{\sim}$  (*tease*) $\top$ , we must have  $S, \mathcal{E}, \mathcal{X} \models_{\sim}$  *alive*.

**KM1**  $Cn(\langle \mathcal{S}, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle_{\overline{\Phi}}^{-}) \subseteq Cn(\langle \mathcal{S}, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle)$ 

Postulate KM1 does not always hold because it is possible to make the formula  $\varphi \rightarrow [a] \perp$  valid in the resulting theory by removing elements of  $R_a$  (cf. Definition 6).

**KM2**  $\Phi \notin Cn(\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle_{\Phi}^{-})$ 

Under the condition that  $\langle S, \mathcal{E}, \mathcal{X}, \rightsquigarrow \rangle$  is modular, Postulate **KM2** is satisfied (cf. Theorem 3).

**KM3** If  $Cn(\langle S_1, \mathcal{E}_1, \mathcal{X}_1, \rightsquigarrow_1 \rangle) = Cn(\langle S_2, \mathcal{E}_2, \mathcal{X}_2, \rightsquigarrow_2 \rangle)$ and  $\models_{\mathbf{K}_n} \Phi_1 \leftrightarrow \Phi_2$ , then  $Cn(\langle S_1, \mathcal{E}_1, \mathcal{X}_1, \rightsquigarrow_1 \rangle_{\overline{\Phi}_2}) = Cn(\langle S_2, \mathcal{E}_2, \mathcal{X}_2, \rightsquigarrow_2 \rangle_{\overline{\Phi}_1}).$ 

**Theorem 4** If  $(S_1, \mathcal{E}_1, \mathcal{X}_1, \rightsquigarrow_1)$  and  $(S_2, \mathcal{E}_2, \mathcal{X}_2, \rightsquigarrow_2)$  are modular and the propositional contraction operator  $\ominus$  satisfies Postu*late* **KM3***, then Postulate* **KM3** *is satisfied for every*  $\Phi_1, \Phi_2 \in \mathfrak{Fml}$ *.* 

Following [26, 27], Eiter et al. [7] have investigated update of action theories in a fragment of the action description language C [11] and given complexity results showing how hard such a task can be.

Update of action descriptions in their sense is always relative to some conditions (interpreted as knowledge possibly obtained from earlier observations and that should be kept). This characterizes a constraint-based update, like ours.

Even though they do not explicitly state postulates for their kind of theory update, they establish conditions for the update operator to be successful. Basically, they claim for consistency of the resulting theory; maintenance of the new knowledge and the invariable part of the description; satisfaction of the constraints; and minimal change.

With their method we can also contract by a static and an effect law. Contraction of executabilities are not explicitly addressed.

A main difference between the approach in [7] and ours is that we do not need to add new fluents at every elaboration stage: we still work on the same set of fluents, refining their behavior w.r.t. an action a. In Eiter et al.'s proposal an update forces changing all the variable rules appearing in the action theory by adding to each one a new update fluent. This is a constraint when elaborating action theories.

Here we have presented the case for contraction, but our definitions can be extended to revision, too. Our results can also be generalized to the case where learning new actions or fluents is involved. This means in general that more than one simple formula should be added to the belief base and must fit together with the rest of the theory with as little side-effects as possible. We are currently defining algorithms based on our operators to achieve that.

## ACKNOWLEDGEMENTS

We are grateful to the anonymous referees for useful comments on an earlier version of this paper. Ivan Varzinczak has been supported by a fellowship from the government of the FEDERATIVE REPUBLIC OF BRAZIL. Grant: CAPES BEX 1389/01-7.

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