

Logika pre informatikov 2

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Propositional Logic

Lecture 1

The language of propositional logic

Propositional formulas are formed from

- **propositional variables** (P_0, P_1, \dots) by
- **propositional connectives** which are
 - **nullary**: truth (\top), falsehood (\perp)
 - **unary**: negation (\neg)
 - **binary**: disjunction (\vee), conjunction (\wedge)
implication (\rightarrow), equivalence (\leftrightarrow)

Binary are **infix** ($\rightarrow, \leftrightarrow$ groups to the right, the rest to the left)

Precedence from highest is $\neg, \wedge, \vee, (\rightarrow, \leftrightarrow)$. Thus

$P_1 \rightarrow P_2 \leftrightarrow P_3 \vee \neg P_4 \wedge P_5$ abbreviates

$P_1 \rightarrow (P_2 \leftrightarrow (P_3 \vee (\neg(P_4) \wedge P_5)))$

Truth functions

We identify the **truth values** *true* and *false* with the nullary symbols \top and \perp respectively.

The remaining connectives are **interpreted** as functions over truth values satisfying:

P_1	P_2	$\neg P_1$	$P_1 \wedge P_2$	$P_1 \vee P_2$	$P_1 \rightarrow P_2$	$P_1 \leftrightarrow P_2$
\perp	\perp	\top	\perp	\perp	\top	\top
\perp	\top	\top	\perp	\top	\top	\perp
\top	\perp	\perp	\perp	\top	\perp	\perp
\top	\top	\perp	\top	\top	\top	\top

We have

$$A \leftrightarrow B \equiv (A \rightarrow B) \wedge (B \rightarrow A)$$

$$\neg A \equiv A \rightarrow \perp$$

$$A \rightarrow B \equiv \neg A \vee B$$

$$A \wedge B \equiv \neg(\neg A \vee \neg B)$$

Tautologies

Of special interest are those propositional formulas A which are true (\top) for all possible truth values of its propositional variables, in writing $\models_p A$.

Every such formula is a **tautology**.

Tautologies are the cornerstones of mathematical logic.

Some examples of (schemas of) tautologies:

$$\begin{aligned}\models_p (A \rightarrow B \rightarrow C) &\leftrightarrow A \wedge B \rightarrow C \\ \models_p (A \rightarrow B \rightarrow C) &\leftrightarrow (A \rightarrow B) \rightarrow A \rightarrow C \\ \models_p (A \rightarrow B) &\leftrightarrow \neg B \rightarrow \neg A\end{aligned}$$

for any propositional formulas A , B , and C

Propositional satisfaction relation

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CL: Explicit

A **propositional valuation**, or an **propositional assignment** v is a (possibly infinite) set $v \subset \mathbb{N}$ The idea is that the $P_i \equiv \top$ iff $i \in v$.

We say that a formula A is **satisfied in** v , in writing $\models_v^v A$, if A is true for the assignment v .

We thus have:

$$\models_p^v P_i \text{ iff } i \in v$$

$$\models_p^v \neg A \text{ iff not } \models_p^v A \text{ iff } \not\models_p^v A$$

$$\models_p^v A \wedge B \text{ iff } \models_p^v A \text{ and } \models_p^v B$$

$$\models_p^v A \vee B \text{ iff } \models_p^v A \text{ or } \models_p^v B$$

$$\models_p^v A \rightarrow B \text{ iff whenever } \models_p^v A \text{ also } \models_p^v B$$

Thus A is a **tautology** iff $\models^v A$ for all valuations v .

Coincidence property if two valuations v and w are such that $i \in v$ iff $i \in w$ for all P_i occurring in A then $\models_v^v A$ iff $\models_w^w A$

Satisfaction relation for sets of propositional formulas

For T a set of formulas and v a valuation (both possibly infinite), we say that v **satisfies** T , in writing $\models_p^v T$, iff for all $A \in T$ we have $\models_p^v A$.

We say that S is a **propositional (tautological) consequence of T** , in writing $T \models_p S$, iff for all v satisfying T (i.e. $\models_p^v T$) at least one $A \in S$ is satisfied (i.e. $\models_p^v A$)

The special case when $T \models_p \{A\}$ is the most important relation in mathematical logic. We write $T \models_p A$ instead of $T \models_p \{A\}$ and say that A **tautologically follows from T** . Note that $\emptyset \models_p \{A\}$ iff A is tautology.

If $T \models_p S$ holds then we say that the **propositional sequent $T \models_p S$** is valid

Compactness theorem for propositional consequence

$T \vDash_p S$ iff there are finite $T' \subset T$ and $S' \subset S$ s.t. $T' \vDash_p S'$.

If $T' = \{A_1, \dots, A_n\}$ and $S' = \{B_1, \dots, B_m\}$ we have $T' \vDash_p S'$
iff

$$\vDash_p A_1 \wedge \dots \wedge A_n \rightarrow B_1 \vee \dots \vee B_m$$

Saturation of propositional sequents

Closure:

$A, T \vDash_p A, S$; $\perp, T \vDash_p S$; $T \vDash_p \top, S$ are valid

Flattenings:

- $T \vDash_p A \rightarrow B, S$ iff $A, T \vDash_p B, A \rightarrow B, S$
- $T \vDash_p A \vee B, S$ iff $T \vDash_p A, B, A \vee B, S$
- $A \wedge B, T \vDash_p S$ iff $A, B, A \wedge B, T \vDash_p S$

Splits:

- $A \rightarrow B, T \vDash_p S$ iff
 $B, A \rightarrow B, T \vDash_p S$ and $A \rightarrow B, T \vDash_p A, S$
- $A \vee B, T \vDash_p S$ iff
 $A, A \vee B, T \vDash_p S$ and $B, A \vee B, T \vDash_p S$

- $T \vDash_p A \wedge B, S$ iff
 $T \vDash_p A, A \wedge B, S$ and $T \vDash_p B, A \wedge B, S$

Inversions:

- $T \vDash_p \neg A, S$ iff $A, T \vDash_p \neg A, S$
- $\neg A, T \vDash_p S$ iff $\neg A, T \vDash_p A, S$

Cuts:

$T \vDash_p S$ iff $A, T \vDash_p S$ and $T \vDash_p A, S$

Here A_1, \dots, A_k stands for $A_1, \dots, A_k, \emptyset$ and

A_1, \dots, A_k, S stands for $S \cup \{A_1, \dots, A_k\}$.

Propositional tableaux as trees of sequents

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CL: Explicit

A branch with formulas $A_1, \dots, A_n, B_1^*, \dots, B_m^*$ can be viewed as a finite *sequent*:

$\{A_1, \dots, A_n\} \vdash_p \{B_1, \dots, B_m\}$ A tableau can be viewed as a conjunction of sequents corresponding to its branches.

Tableau rules: correspond to saturation of sequents:

A branch **closes** when it contains \perp, \top^*, A, A^*

Flattens:

$$\frac{A \rightarrow B^*}{A, B^*} \quad \frac{A \vee B^*}{A^*, B^*} \quad \frac{A \wedge B}{A, B}$$

Splits:

$$\frac{A \rightarrow B}{B \mid A^*} \quad \frac{A \vee B}{A \mid B} \quad \frac{A \wedge B^*}{A^* \mid B^*}$$

Inversions, Cuts, and Axioms:

$$\frac{\neg A^*}{A} \quad \frac{\neg A}{A^*} \quad \frac{}{A \mid A^*} \quad \overline{A} \text{ when } A \in T$$

Saturated sequents

A sequent $T \vDash_p S$ (a branch of a tableau) is **saturated** if no rule can be applied to it, i.e.

- if $A \rightarrow B \in S$ then $A \in T$ and $B \in S$
- if $A \vee B \in S$ then $A \in S$ and $B \in S$
- if $A \wedge B \in T$ then $A \in T$ and $B \in T$
- if $A \rightarrow B \in T$ then $B \in T$ or $A \in S$
- if $A \vee B \in T$ then $A \in T$ or $B \in T$
- if $A \wedge B \in S$ then $A \in S$ or $B \in S$
- if $\neg A \in S$ then $A \in T$
- if $\neg A \in T$ then $A \in S$

If a saturated sequent is closed then it is valid because it cannot be falsified in any v .

If a saturated sequent is valid then it is closed, because if not closed then $v = \{i \mid P_i \in T\}$ falsifies the sequent.

Soundness and completeness of propositional tableaux

We write $T \vDash_p S \triangleright_p T' \vDash_p S'$ when the first sequent is a **father** of the second one.

We have $T \vDash_p S$ iff $T' \vDash_p S'$ for all saturated sons.

When we write $T \vdash_p A$ for **there is a closed tableau for the goal** A then we have

Soundness: if $T \vdash_p A$ then $T \vDash_p A$

Completeness: if $T \vDash_p A$ then $T \vdash_p A$

Equational Logic

Lecture 2

Language of equational logic

\mathcal{L} consists of **terms** given by **denumerable** sets of **function symbols** f_i and **predicate symbols** P_i (each with *arity* $n \geq 0$). We always have $=$ among predicate symbols.

Terms: are concrete sequences of symbols defined by:

- 1 (object) variables $x_0, x_1, \dots, y_0, y_1, \dots$ are terms,
- 2 if τ_1, \dots, τ_n are terms and the function symbol f_i has arity $n \geq 0$ then $f_i(\tau_1, \dots, \tau_n)$ is a term.

Function symbols of arity 0 are *constants*

Formulas are concrete sequences of symbols consisting of:

- ① *atomic* formulas $P_i(\tau_1, \dots, \tau_n)$ with τ_1, \dots, τ_n terms,
- ② *propositional* formulas $\perp, \top, \neg A_1, A_1 \vee A_2, A_1 \wedge A_2, A_1 \rightarrow A_2, A_1 \leftrightarrow A_2$ with A_1, A_2 formulas,

We write $\tau_1 = \tau_2$ for **identities** $=(\tau_1, \tau_2)$.

Predicate symbols of arity 0 are *propositional constants* and they correspond to propositional variables.

Quasi-tautological consequence

We wish to define **equationally valid** sequents $T \vDash_i S$ such that if $T \vDash_p S$ then $T \vDash_i S$ (i.e. all tautologies are eq. valid). But we also wish to use the properties of $=$, for instance, $\vDash_i \tau_1 = \tau_2 \rightarrow \tau_2 = \tau_1$ which is not in general a tautology but it is a **quasi-tautology**.

If $T \vDash_i A$ we say that A is a **quasi-tautological consequence** of T .

We wish the **reduction** to propositional logic:

$$T \vDash_i S \text{ iff } T, \mathbf{Eq} \vDash_p S$$

where **Eq** are the **axioms of identity**.

Identity (equational) axioms

for every equational language \mathcal{L} the set of sentences $\tau = \tau$ are **reflexivity** axioms,

$$\tau = \sigma \rightarrow \sigma = \tau$$

are **symmetry** axioms,

$$\tau = \sigma \rightarrow \sigma = \rho \rightarrow \tau = \rho$$

are **transitivity** axioms, and

$$\tau_1 = \sigma_1 \rightarrow \dots \rightarrow \tau_n = \sigma_n \rightarrow$$

$$f(\tau_1, \dots, \tau_n) = f(\sigma_1, \dots, \sigma_n)$$

$$\tau_1 = \sigma_1 \rightarrow \dots \rightarrow \tau_n = \sigma_n \rightarrow$$

$$P(\tau_1, \dots, \tau_n) \rightarrow P(\sigma_1, \dots, \sigma_n)$$

are **substitution** axioms where $f, P \in \mathcal{L}$

We designate all by **Eq** and call them **equation** (identity) axioms (for \mathcal{L}).

Interpretation of languages of identity

We wish to introduce **interpretations** \mathcal{M} of \mathcal{L} corresponding to propositional valuations v such that $\vDash_i^{\mathcal{M}} A$ if A is **satisfied in** \mathcal{M} .

This should extend propositional valuations, for instance, we wish:

- $\vDash_i^{\mathcal{M}} A \wedge B$ iff $\vDash_i^{\mathcal{M}} A$ and $\vDash_i^{\mathcal{M}} B$
- $\vDash_i^{\mathcal{M}} \neg A$ iff not $\vDash_i^{\mathcal{M}} A$

But we also wish $\vDash_i^{\mathcal{M}} \mathbf{Eq}$, i.e. for instance:

- if $\vDash_i^{\mathcal{M}} \tau_1 = \tau_2$ then $\vDash_i^{\mathcal{M}} \tau_2 = \tau_1$

Interpretations \mathcal{M} for L

consist of **domains** D , of interpretations $f^{\mathcal{M}}$ of functions symbols $f \in \mathcal{L}$ as n -ary functions over D and of interpretations $P^{\mathcal{M}}$ of predicate symbols $P \in \mathcal{L}$ as n -ary relations over D .
 $\langle D, \dots f^{\mathcal{M}}, \dots P^{\mathcal{M}}, \dots \rangle$ are **structures for \mathcal{L}** .

In **interpretations \mathcal{M}** we also need to **assign objects** $x^{\mathcal{M}}$ from D to the (object) variables x .

Terms τ of \mathcal{L} are *interpreted* in \mathcal{M} by **denotations** $\tau^{\mathcal{M}} \in D$ s.t.

- $f(\tau_1, \dots, \tau_n)^{\mathcal{M}} = f^{\mathcal{M}}(\tau_1^{\mathcal{M}}, \dots, \tau_n^{\mathcal{M}})$.

Atomic formulas are interpreted by defining:

- $\models_i^{\mathcal{M}} P(\tau_1, \dots, \tau_n)$ iff $P^{\mathcal{M}}(\tau_1^{\mathcal{M}}, \dots, \tau_n^{\mathcal{M}})$,
- $\models_i^{\mathcal{M}} \tau_1 = \tau_2$ iff $\tau_1^{\mathcal{M}} = \tau_2^{\mathcal{M}}$

and we close the satisfaction relation propositionally.

Saturation of identity sequents

We **define** $T \vDash_i S$ to hold iff for all interpretations \mathcal{M} satisfying T , i.e. $\vDash_i^{\mathcal{M}} T$, there is an $A \in S$ s.t. $\vDash_i^{\mathcal{M}} A$.
Thus $\vDash_i A$, i.e. A is a **quasi-tautology**, iff $\vDash_i^{\mathcal{M}} A$ for all \mathcal{M} .

We have

- $T \vDash_i S$ iff $\tau = \tau$, $T \vDash_i S$
- $\tau_1 = \tau_2$, $T \vDash_i S$ iff $\tau_2 = \tau_1$, $\tau_1 = \tau_2$, $T \vDash_i S$
- $\tau_1 = \tau_2$, $\tau_2 = \tau_3$, $T \vDash_i S$ iff
 $\tau_1 = \tau_3$, $\tau_1 = \tau_2$, $\tau_2 = \tau_3$, $T \vDash_i S$
- $\vec{\tau} = \vec{\rho}$, $T \vDash_i S$ iff $f(\vec{\tau}) = f(\vec{\rho})$, $\vec{\tau} = \vec{\rho}$, $T \vDash_i S$
- $\vec{\tau} = \vec{\rho}$, $P(\vec{\tau})$, $T \vDash_i S$ iff $P(\vec{\rho})$, $\vec{\tau} = \vec{\rho}$, $P(\vec{\tau})$, $T \vDash_i S$


plus all saturations corresponding to the  propositional ones.

Tableau rules for identity

reflexivity rules:

$$\frac{}{\tau = \tau}$$

symmetry rules:

$$\frac{\tau = \sigma}{\sigma = \tau}$$

transitivity rules:


$$\frac{\tau = \sigma \quad \sigma = \rho}{\tau = \rho}$$

substitution rules:

$$\frac{\tau_1 = \sigma_1 \cdots \tau_n = \sigma_n}{f(\tau_1, \dots, \tau_n) = f(\sigma_1, \dots, \sigma_n)} \quad f \in \mathcal{L}$$

$$\frac{\tau_1 = \sigma_1 \cdots \tau_n = \sigma_n \quad P(\tau_1, \dots, \tau_n)}{P(\sigma_1, \dots, \sigma_n)} \quad P \in \mathcal{L}$$

Equationally saturated sequents

$T \vDash_i S$ is **equationally saturated** if it is  propositionally saturated and

- $\tau = \tau \in T$
- if $\tau_1 = \tau_2 \in T$ then $\tau_2 = \tau_1 \in T$
- if $\tau_1 = \tau_2, \tau_2 = \tau_3 \in T$ then $\tau_1 = \tau_3 \in T$
- if $\vec{\tau} = \vec{\rho} \in T$ then $f(\vec{\tau}) = f(\vec{\rho}) \in T$
- if $\vec{\tau} = \vec{\rho}, P(\vec{\tau}) \in T$ then $P(\vec{\rho}) \in T$

We have $T \vDash_i S$ iff all equationally saturated sons are closed.

Ekvačná a kvantifikačná logika

3. prednáška (6. 10. 2004)

- Formula A je **ekvačne dokázateľná** z množiny axiém T ($T \vdash_i A$) práve vtedy, keď existuje uzavreté tablo pre cieľ A
- **Vhodnosť a úplnosť ekvačných tabiel** (soundness & completeness)

$T \vdash_i A$ práve vtedy, keď $T \models_i A$

⇐ Sporom:

Predpokladáme $T \not\models_i A$ a skonštruujeme interpretáciu \mathcal{M} **zo syntaktického materiálu (herbrandovskú)** tak, aby $\models_i^{\mathcal{M}} T$, ale $\not\models_i^{\mathcal{M}} A$


- Syntaktická redukcia

$T \vdash_i A$ práve vtedy, keď $T, \mathbf{Eq} \vdash_p A$

- Sémantická redukcia

$T \vDash_i A$ práve vtedy, keď $T, \mathbf{Eq}_{A,T} \vDash_p A$

$T \vDash_i A \iff T \vdash_i A \iff T, \mathbf{Eq} \vdash_p A \iff T, \mathbf{Eq} \vDash_p A$

- **Termy** ako v  ekvačnej logike
 - **Formuly**
 - **atomické:** $P_i(\tau_1, \dots, \tau_n)$, ak τ_1, \dots, τ_n sú termy a P_i je predikátový symbol arity n
 - **propozičné:** $\perp, \top, \neg A_1, A_1 \wedge A_2, A_1 \vee A_2, A_1 \rightarrow A_2, A_1 \leftrightarrow A_2$, ak A_1 a A_2 sú formuly
 - **kvantifikačné:**
 - **existenčné:** $\exists x A[x]$,
 - **všeobecné:** $\forall x A[x]$,
- ak $A[x]$ je formula, v ktorej sa môže vyskytovať objektová premenná x



- Premenná x je **viazaná (bound)** vo formulách $\exists x A[x]$ a $\forall x A[x]$
- Premenné, ktoré nie sú viazané, sú **voľné (free)**

$$\exists z x = f(z) \rightarrow \forall y (P(y, x) \vee \exists x y = g(x, x))$$

- Dosadenie: Ak $A[x]$ je formula, v ktorej sa môže vyskytovať premenná x , $A[\tau]$ vznikne dosadením termu τ za voľné výskyty x
- $\tau \equiv ff(7)$

$$\exists z ff(7) = f(z) \rightarrow \forall y (P(y, ff(7)) \vee \exists x y = g(x, x))$$

- Formula bez voľných premenných sa nazýva **veta (sentence)**

- **Štruktúry a interpretácie** ako v  ekvačnej logike
- Relácia $\models^{\mathcal{M}} A$:
formula A je **splnená (satisfied; tiež pravdivá)**
v interpretácii \mathcal{M}
 - pre atomické A ako  $\models_i^{\mathcal{M}} A$
 - $\models^{\mathcal{M}} \exists x A[x]$ práve vtedy, keď $\models^{\mathcal{M}'} A[y]$ platí pre aspoň jedno **rozšírenie (expansion)** \mathcal{M}' interpretácie \mathcal{M} o interpretáciu novej premennej y
 - $\models^{\mathcal{M}} \forall x A[x]$ práve vtedy, keď $\models^{\mathcal{M}'} A[y]$ platí pre všetky rozšírenia \mathcal{M}' interpretácie \mathcal{M} o interpretáciu novej premennej y
 - pre propozičné A ($\neg A_1, A_1 \wedge A_2, \dots$) analogicky ako propozičná pravdivosť
- Interpretácia \mathcal{M} spĺňa množinu formúl T ($\models^{\mathcal{M}} T$) práve vtedy, keď pre všetky formuly $A \in T$ platí $\models^{\mathcal{M}} A$

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CL: Explicit

- Množina formúl S **logicky vyplýva** z množiny formúl T (S is a **logical consequence** of T ; $T \models S$) práve vtedy, keď v každej interpretácii \mathcal{M} spĺňajúcej T platí $\models^{\mathcal{M}} A$ pre aspoň jedno $A \in S$
- Formula A je **platná (valid; $\models A$)**, keď $\emptyset \models \{A\}$, teda keď je A splnená v každej interpretácii

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CL: Explicit

- Všetky tautológie sú kvázitautológiami ($\models_p A \implies \models_i A$)
- Všetky kvázitautológie sú platnými formulami ($\models_i A \implies \models A$)
- **Ale nie naopak!**
- $x = y \rightarrow y = x$: kvázitautológia, ale nie tautológia
Z pohľadu propozičnej logiky sú atomické a kvantifikačné formuly **propozičnými premennými**
- $\forall x(a = b \wedge b = c) \rightarrow a = c$:
platná formula, ale nie kvázitautológia
Z pohľadu ekvačnej logiky sú kvantifikačné formuly **propozičnými konštantami**

Logické vyplývanie $T \vDash S$ má nasledujúce vlastnosti, ktoré nám umožnia **saturovať** množiny T a S .

- $\forall x A[x], T \vDash S$ práve vtedy, keď $A[\tau], \forall x A[x], T \vDash S$ pre ľubovoľný term τ
- $T \vDash \exists x A[x], S$ práve vtedy, keď $T \vDash A[\tau], \exists x A[x], S$ pre ľubovoľný term τ
- $\exists x A[x], T \vDash S$ práve vtedy, keď $A[z], \exists x A[x], T \vDash S$ pre **novú premennú** z (nie je voľná v T ani v S)
- $T \vDash \forall x A[x], S$ práve vtedy, keď $T \vDash A[z], \forall x A[x], S$ pre **novú premennú** z
- Všetky saturačné vlastnosti  kvázitautologického a  propozičného vyplývania

- Inštanciačné pravidlá (instantiation rules)

$$\frac{\forall x A[x]}{A[\tau]} (\forall), \quad \frac{\exists x A[x]^*}{A[\tau]^*} (\exists^*)$$

pre ľubovoľný term τ

- Pravidlá s vlastnou premennou (eigen-variable rules)

$$\frac{\exists x A[x]}{A[z]} (\exists), \quad \frac{\forall x A[x]^*}{A[z]^*} (\forall^*),$$

kde z je premenná, ktorá sa v table ešte nevyskytla voľná

Kvantifikačným pravidlám zodpovedajú kvantifikačné axiómy

- Inštanciačné axiómy

$$\forall x A[x] \rightarrow A[\tau]$$

$$A[\tau] \rightarrow \exists x A[x]$$

pre ľubovoľný term τ

- Axiómy s vlastnými premennými
 - dosvedčujúca (**witnessing**)

$$\exists x A[x] \rightarrow A[z]$$

vlastná premenná z sa nazýva svedok (**witness**)

- kontrapríkladová

$$A[z] \rightarrow \forall x A[x]$$

vlastná premenná z sa nazýva kontrapríklad
(**counterexample**)

pre „vhodnú“ premennú z

Požiadavky na vlastné premenné

- Vlastná premenná axiómy $\exists x A[x] \rightarrow A[z]$ sa nesmie vyskytovať v $\exists x A[x]$
- Vlastná premenná axiómy $A[z] \rightarrow \forall x A[x]$ sa nesmie vyskytovať v $\forall x A[x]$
- Všetky kvantifikačné axiómy použité v table musia tvoriť **regulárnu množinu**, teda je možné usporiadať ich do postupnosti

$$\langle Q_1, Q_2, \dots, Q_n \rangle$$

tak, že **vlastná premenná** axiómy Q_{i+1} , $i < n$,
sa nevyskytuje v žiadnej axióme Q_j pre $1 \leq j \leq i$

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CL: Explicit

Kvantifikačné axiómy umožnia redukovať kvantifikačnú logiku do ekvačnej:

$$T \models S \text{ práve vtedy, keď } T, \mathbf{Q} \models_i S$$

pre vhodne zvolenú množinu kvantifikačných axióm \mathbf{Q}


Kvantifikačná logika (dokončenie)

4. prednáška (13. 10. 2004)

- Formula A je **kvantifikačne dokázateľná** z množiny axióm T ($T \vdash A$) práve vtedy, keď existuje uzavreté kvantifikačné tablo pre cieľ A

- **Vhodnosť kvantifikačných tabiel** (soundness)

Ak $T \vdash A$, potom $T \vDash A$

- Indukciou na konštrukciu tabla z vlastností  logického vyplývania

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CL: Explicit

Sekvent $T \vDash S$ (vetva tabla) je **kvantifikačne saturovaný**, ak je ekvivačne saturovaný a navyše

- ak $\forall x A[x] \in T$, tak $A[\tau] \in T$ pre každý term τ ,
- ak $\exists x A[x] \in S$, tak $A[\tau] \in S$ pre každý term τ ,
- ak $\exists x A[x] \in T$, tak $A[z] \in T$ pre aspoň jednu premennú z ,
- ak $\forall x A[x] \in S$, tak $A[z] \in S$ pre aspoň jednu premennú z .

- Gödelova veta o úplnosti (completeness)

Ak $T \models A$, tak $T \vdash A$

- Nepriamo:
 - Predpokladáme $T \not\vdash A$
 - Každé tablo má otvorenú vetvu
 - Skonstruujeme systematické tablo
 - Otvorené vetvy sú saturované
 - Špeciálny prípad:
konečná vetva saturovaná vzhľadom na vlastné premenné
 - Z otvorenej vetvy skonstruujeme interpretáciu \mathcal{M} (zo syntaktického materiálu) spĺňajúcu všetky predpoklady (teda aj T), ale žiaden cieľ (teda ani A), t. j. $\models^{\mathcal{M}} T$ a $\not\models^{\mathcal{M}} A$, preto $T \not\vdash A$

Redukcia kvantifikačnej logiky do ekvačnej a propozičnej

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CL: Explicit

- Syntaktická redukcia:
 $T \vdash A$ práve vtedy, keď existuje regulárna množina \mathbf{Q} , pre ktorú $T, \mathbf{Q} \vdash_i A$

- Sémantická redukcia:
Existuje regulárna množina \mathbf{Q} taká, že

$$T \models A \text{ práve vtedy, keď } T, \mathbf{Q} \models_i A$$

- Redukcia do propozičnej logiky:

$$T \models A \text{ práve vtedy, keď } T, \mathbf{Eq}, \mathbf{Q} \models_p A$$

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CL: Explicit

Extension of theories

Lecture 5

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CL: Explicit

- **Gödel's completeness and soundness:**

$$T \models A \text{ iff } T \vdash A$$

- **Reduction of predicate logic to propositional:**

$$T \models A \text{ iff } T, \mathbf{Eq}, \mathbf{Q} \models_p A$$

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CL: Explicit

- **Extension of languages:** \mathcal{L}' is an **extension** of \mathcal{L} if every symbol of \mathcal{L} is a symbol of \mathcal{L}' ,
- **Extension of theories:** T' is an **extension** of T if the language of T' extends the language of T and $T' \vdash A$ for all $A \in T$,
- **Conservative extensions:** An extension T' of T is **conservative** iff from $T' \vdash A$ where A is in the language of T we have $T \vdash A$
- **Consistent theories:** A theory T is **consistent** if $T \not\vdash \perp$. Clearly, if T' is conservative over a consistent T then also T' is consistent

Extension by definitions with predicate symbols

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CL: Explicit

- Let T be a theory in \mathcal{L} which does not contain n -ary predicate symbol P , and $A[\vec{x}]$ a formula of \mathcal{L} with just the n -variables \vec{x} free,
- then $T' = T + \forall \vec{x}(P(\vec{x}) \leftrightarrow A[\vec{x}])$ is an **extension** of T in the language $\mathcal{L} + P$,
- **Elimination of P** : Let B^* be like B but with every $P(\vec{\tau})$ replaced by $A[\vec{\tau}]$,
- $T' \vdash B \leftrightarrow B^*$, proof is straightforward,
- T' is **conservative over** T : If $T' \vDash B \in \mathcal{L}$ take any $\vDash^{\mathcal{M}} T$, expand it to $\vDash^{\mathcal{M}'} T'$, conclude $\vDash^{\mathcal{M}'} B$, and $\vDash^{\mathcal{M}} B$. Hence $T \vDash B$
- **Translation**: $T' \vdash B$ iff $T \vdash B^*$ for any $B \in \mathcal{L} + P$

Extension by definitions with function symbols

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CL: Explicit

- Let T be a theory in \mathcal{L} which does not contain n -ary function symbol f , and $A[\vec{x}, y]$ a formula of \mathcal{L} with just the $n + 1$ -variables \vec{x}, y free,
- if the **existence condition**: $T \vdash \exists y A[\vec{x}, y]$ holds then
- $T' = T + A[\vec{x}, f(\vec{x})]$ is **conservative** over T : If $T' \vDash B \in \mathcal{L}$ take any $\vDash^{\mathcal{M}} T$, expand it to $\vDash^{\mathcal{M}'} T'$, conclude $\vDash^{\mathcal{M}'} B$, and $\vDash^{\mathcal{M}} B$. Hence $T \vDash B$
- if also the **uniqueness condition**:
 $T \vdash A[\vec{x}, y_1] = A[\vec{x}, y_2] \rightarrow y_1 = y_2$ holds
- then $T'' = T + \forall \vec{x} (f(\vec{x}) = y \leftrightarrow A[\vec{x}, y])$ is **conservative over T** because T' extends T'' .
- **Elimination of f** : Let B^* be like B but with every atomic subformula $C_y[f(\vec{\tau})]$ replaced by $\exists y (A[\vec{\tau}, y] \wedge C[y])$,
- $T'' \vdash B \leftrightarrow B^*$, proof is straightforward,
- **Translation**: $T'' \vdash B$ iff $T \vdash B^*$ for any $B \in \mathcal{L} + P$

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CL: Explicit

Basic bootstrapping of PA

Lecture 6

Recapitulation of extensions

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CL: Explicit

- T' is an **extension** of T if $T' \vDash A$ for all $A \in T$ (T' can prove new facts about formulas of \mathcal{L}_T)
- T' is a **conservative extension** of T if for all $A \in \mathcal{L}_T$ from $T' \vDash A$ we get $T \vDash A$ (T' **cannot** prove new facts about formulas of \mathcal{L}_T but it can about formulas of $\mathcal{L}_{T'}$),
- Special case of conservative extensions are **extensions by definitions** where **no** new facts about formulas of $\mathcal{L}_{T'}$ can be proved because every theorem of T' can be **translated** to an equivalent theorem of T .

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CL: Explicit

- For arbitrary **theory** T we have learnt to prove **theorems** A of **extensions** T' as **logical consequences**: $T' \vDash A$,
- we will now study a particular theory **Peano arithmetic** (PA)
- our **goal** is to show that the **clauses** of legal **CL** definitions are theorems in **definitional extensions** of PA
- Thus all properties of CL programs are provable in PA but the extensions make for **readability** and for the **computability** directly from the clauses

The **language of PA** consists of the **constant** 0 and **function symbols** x' , $x + y$, $x \cdot y$.

The **standard structure** \mathcal{N} has the domain \mathbb{N} of natural numbers with the intended interpretation of symbols in that order as **zero**, **successor**, **addition**, and **multiplication** functions.

The **axioms of PA** are

$$x' \neq 0 \qquad x' = y' \rightarrow x = y$$

$$0 + y = y \qquad x' + y = (x + y)'$$

$$0 \cdot y = 0 \qquad x' \cdot y = (x \cdot y) + y$$

$$A[0, \vec{y}] \wedge \forall x (A[x, \vec{y}] \rightarrow A[x', \vec{y}]) \rightarrow A[x, \vec{y}]$$

for **all** formulas $A[x, \vec{y}]$ of the language of PA. The last axioms are called the axioms of **mathematical induction** with x called the **induction** variable and \vec{y} (if any) the **parameters**

Incompleteness of PA: Goodstein's sequence

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CL: Explicit

For $x > 0$ write the number $x - 1$ **fully** in **base** $n \geq 2$. For instance, for $x = 528$ and $n = 2$:

$$x = 528 = 2^9 + 2^4 + 1 = 2^{2^3+1} + 2^{2^2} = 2^{2^{2^1+1}} + 2^{2^{2^1}}$$

$x = 527 = 2^{2^{2^1+1}} + 2^{2^1+1} + 2^{2^1} + 2^1 + 1$ and **change** to base $n + 1 = 3$:

$$P_n(x) = 3^{3^{3^1+1}} + 3^{3^1+1} + 3^{3^1} + 3^1 + 1.$$

Subtract one and change to base 4, obtain $P_{n+1}(P_n(x))$, and continue. This is called **Goodstein's sequence**

There is a formula $A[n, x]$ of PA which says **Goodstein's sequence for $n \geq 2$ and any x terminates in finitely many steps in 0**

We have $\models^{\mathcal{N}} \forall n \forall x A[n, x]$ **but** $\text{PA} \not\models \forall n \forall x A[n, x]$.

Hence by **Gödel's completeness** there is a **non-standard** structure \mathcal{M} for natural numbers s.t. $\models^{\mathcal{M}} \text{PA} + \neg \forall n \forall x A[n, x]$.

Incompleteness theorem of Gödel

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CL: Explicit

To every **consistent** extension T of PA in the same language there is a sentence A of PA such that $\models^{\mathcal{N}} T + A$ but neither $T \vdash A$ nor $T \vdash \neg A$.

Thus **arithmetic is essentially incomplete**, i.e. to every such T there is a **non-standard model of arithmetic** \mathcal{M} such that $\models^{\mathcal{M}} T + \neg A$.

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CL: Explicit

Extensions of PA

Lecture 7

PA proves $x = 0 \vee \exists y x = y'$

this **justifies** a **case analysis** command *case* $N_1; z$ which behaves as:

$$\overline{z = 0 \mid z = y'}$$

Typically used when in assumptions $z \neq 0$ and we wish its predecessor.

PA proves $x + 1 = x'$ and, and hence $x = 0 \vee \exists y x = y + 1$
this **justifies** a **case analysis** command *case* $N; z$ which behaves as:

$$\overline{z = 0 \mid z = y + 1}$$

We also have N -induction rule: *ind* $N; x;$

$$\overline{\phi[0]* \mid \phi[x]} \quad \phi[x + 1]*$$

Definitional extensions with predicate symbols

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CL: Explicit

$$x < y \leftrightarrow \exists z x + z' = y$$

$$x \leq y \leftrightarrow x < y \vee x = y$$

PA now proves

- both symbols **transitive**,
- **< irreflexive**: $x \not< x$,
- **\leq antisymmetric**: $x \leq y \wedge y \leq x \rightarrow x = y$
- **< linear**: $x < y \vee x = y \vee y < x$,
- **\leq linear**: $x \leq y \vee y < x$

The last two **justify** the **case rules** of

Trichotomy case *Trich*; x, y $\frac{}{x < y \mid x = y \mid x > y}$

Dichotomy case *Dich*; x, y $\frac{}{x \leq y \mid x > y}$

Induction with measure

For any formula $\phi[\vec{x}]$ and term $\mu[\vec{x}]$ PA proves the **induction with measure**

$$\forall \vec{x} (\forall \vec{y} (\mu[\vec{y}] < \mu[\vec{x}] \rightarrow \phi[\vec{y}]) \rightarrow \phi[\vec{x}]) \rightarrow \phi[\vec{x}]$$

This **justifies** the induction **rule**: $indm \mu[\vec{x}]$

$$\frac{\forall \vec{y} (\mu[\vec{y}] < \mu[\vec{x}] \rightarrow \phi[\vec{y}])}{\phi[\vec{x}]^*}$$

A **special** case when the measure $\mu[\vec{x}]$ is just x we have **complete induction**:

$$\forall x (\forall y (y < x \rightarrow \phi[y]) \rightarrow \phi[x]) \rightarrow \phi[x]$$

and the **rule**: $indm x$

$$\frac{\forall y (y < x \rightarrow \phi[y])}{\phi[x]^*}$$

Definitional extensions with function symbols

In arbitrary theory T a new f by its defining axiom:

$$f(\vec{x}) = y \leftrightarrow \phi[\vec{x}, y]$$

provided T proves the **existence** and **uniqueness** conditions:

$$\forall \vec{x} \exists y \phi[\vec{x}, y]$$

$$\phi[\vec{x}, y_1] \wedge \phi[\vec{x}, y_2] \rightarrow y_1 = y_2$$

If PA proves the **existence** $\forall \vec{x} \exists y \phi[\vec{x}, y]$ then we can **uniquely** pick the **least number y such that $\phi[\vec{x}, y]$** .

Extension of PA by **minimization** adds two new axioms

$$\phi[\vec{x}, f(\vec{x})] \quad z < f(\vec{x}) \rightarrow \neg \phi[\vec{x}, z]$$

This is done by **defining** $f(\vec{x}) = \mu_y[\phi[\vec{x}, y]]$.

CL command *use f* makes the two axioms accessible.

Minimization is **equivalent** to extending PA with

$$f(\vec{x}) = y \leftrightarrow \phi[\vec{x}, y] \wedge \forall z(z < y \rightarrow \neg \phi[\vec{x}, z])$$

where both the **existence** and **uniqueness** are provable.

Introduction of modified subtraction

PA proves $\forall x \forall y \exists d (y \leq x \rightarrow y + d = x)$

We can thus extend PA by minimization:

$$x \dot{-} y = \mu_d [y \leq x \rightarrow y + d = x]$$

and the two axioms are accessible as

$$y \leq x \rightarrow y + (x \dot{-} y) = x$$

$$z < x \dot{-} y \rightarrow \neg (y \leq x \rightarrow y + z = x)$$

From the last we get $x < y \rightarrow x \dot{-} y \leq z$ and then

$$x < y \rightarrow x \dot{-} y = 0$$

Introduction of division and remainder functions

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CL: Explicit

PA proves

$$\forall x \forall y \exists q \exists r (y > 0 \rightarrow x = q \cdot y + r \wedge r < y)$$

This is the existence condition for two extensions by minimizations:

$$x \div y = \mu_q [y > 0 \rightarrow \exists r (x = q \cdot y + r \wedge r < y)]$$
$$x \bmod y = \mu_r [y > 0 \rightarrow \exists q (x = q \cdot y + r \wedge r < y)]$$

Towards the recursive CL definitions

In order to be able to introduce functions defined in CL by recursion we need some kind of **coding** of sequences, i.e. **lists** in PA.

Cantor's pairing function does not suffice, we need also **list concatenation!**

We can introduce a **dyadic pairing** $x; y$ and **concatenation** $x \boxplus y$ functions s.t

$$x_1; y_1 = x_2; y_2 \rightarrow x_1 = x_2 \wedge y_1 = y_2$$

$$x < x; y \wedge y < x; y$$

Defining: $Atom(x) \leftrightarrow \forall y \forall z x \neq y; z$

we can introduce \boxplus to satisfy:

$$Atom(x) \rightarrow x \boxplus z = z$$

$$(x; y) \boxplus z = x; y \boxplus z .$$

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CL: Explicit

We explicitly define the predicate of **divisibility** $x \mid y$:

$$x \mid y \leftrightarrow \exists z y = z \cdot x$$

and then the predicate $Pow_2(p)$ holding iff $p = 2^x$ for some x .
In absence of exponentiation (it has a recursive definition) we
can define the predicate of p **is a power of two** explicitly as:

$$Pow_2(p) \leftrightarrow \forall d (d \mid p \rightarrow d = 1 \vee 2 \mid d)$$

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CL: Explicit

Introduction of dyadic concatenation into PA

Lecture 8

Review: Powers of two

Definition:

$$Pow_2(p) \leftrightarrow \forall d(d \mid p \rightarrow d = 1 \vee 2 \mid d)$$

Provably equivalent properties:

$$\neg Pow_2(0)$$

$$Pow_2(x1) \leftrightarrow x = 0$$

$$Pow_2(x0) \leftrightarrow x > 0 \wedge Pow_2(x)$$

This is equivalent again to **recursive clauses**:

$$Pow_2(x1) \leftarrow x = 0$$

$$Pow_2(x0) \leftarrow x > 0 \wedge Pow_2(x)$$

CL requires a default clause explicit:

$$Pow_2(x0) \leftarrow x = 0 \wedge 0 = 1$$

We can now clausally redefine Pow_2 and let CL make **use** commands automatically

Binary case and induction

Clauses for Pow_2 are by **binary discrimination**:

$$x = y0 \vee x = y1$$

proved from the properties of **division**

This justifies **Binary case rule in CL**: case $Nb; x$:

$$\frac{}{x = y0 \mid x = y0 \mid x = y1}$$

$$y = 0 \mid y > 0$$

Complete induction proves the schema of **Binary induction**:

$$\phi[0] \wedge \forall x(x > 0 \wedge \phi[x] \rightarrow \phi[x0]) \wedge \forall x(\phi[x] \rightarrow \phi[x1]) \rightarrow \phi[x]$$

This justifies **Binary induction rule in CL**: ind $Nb; x$

$$\frac{}{x = 0 \mid x > 0}$$

$$\phi[x0]* \mid \phi[x] \mid \phi[x]$$

$$\phi[x0]* \mid \phi[x0]* \mid \phi[x1]*$$

We wish PA to **prove** the following recurrences as **theorems**

$$x \star 0 = x$$

$$x \star y\mathbf{1} = (x \star y)\mathbf{1}$$

$$x \star y\mathbf{2} = (x \star y)\mathbf{2}$$

For that we need to define \star explicitly:

$$x \star y = x \cdot 2^{|y|} + y$$

For that we need to introduce into PA the **dyadic length power** function: $Dlp(x) \equiv 2^{|x|}$.

Note that we cannot directly define: $|x|$ or 2^x , but we can $2^{|x|}$.

For x such that $7 \leq x \leq 14$ we have

$$2^{|x|} = \begin{cases} 0112 & \text{if } x = 7 = 0111 \\ 0112 & \text{if } x = 8 = 0112 \\ 0112 & \text{if } x = 9 = 0121 \\ 0112 & \text{if } x = 10 = 0122 \\ 0112 & \text{if } x = 11 = 0211 \\ 0112 & \text{if } x = 12 = 0212 \\ 0112 & \text{if } x = 13 = 0221 \\ 0112 & \text{if } x = 14 = 0222 \end{cases}$$

Note: $y \star x = y \cdot 8 + x = y \cdot 2^3 + x = y \cdot 2^{|x|} + x$

Also note idempotency: $2^{|2^{|8|}|} = 2^{|8|}$.

Introduction of $2^{|x|}$ into PA

By extension by definition:

$$2^{|x|} = p \leftrightarrow Pow_2(p) \wedge p \leq x + 1 < 2 \cdot p$$

because for $x > 0$ we have

$$\underbrace{(1 \cdots 1)}_{|x|}_2 = 2^{|x|} - 1 \leq x < 2^{|x|+1} - 1 = \underbrace{(1 \cdots 1)}_{|x|+1}_2$$

We extend CL by **minimization**:

$$2^{|x|} = \mu_p [Pow_2(p) \wedge x + 1 < 2 \cdot p]$$

We need to prove the **existence condition**:

$$\exists p (Pow_2(p) \wedge x + 1 < 2 \cdot p)$$

which says that powers of two are **unbounded**

Comparison of dyadic length

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CL: Explicit

We cannot define in PA the **dyadic length** $|x|$ yet, but we can compare the dyadic length of two numbers:

The numbers x and y have the same dyadic length iff

$$2^{|x|} = 2^{|y|}$$

or

The number x has a shorter dyadic length than y iff $2^{|x|} < 2^{|y|}$

This is possible because 2^x is **injective**

After defining

$$2^{|x|} = \mu_p[\text{Pow}_2(p) \wedge x + 1 < 2 \cdot p]$$

PA proves:

$$2^{|0|} = 1$$

$$2^{|x1|} = 2 \cdot 2^{|x|}$$

$$2^{|x2|} = 2 \cdot 2^{|x|}$$

because **intuitively** $2^{|x1|} = 2^{|x|+1} = 2 \cdot 2^{|x|}$

The clauses are by **dyadic recursion**.

Dyadic case and induction

Clauses for $2^{|x|}$ are by **dyadic discrimination**:

$$x = 0 \vee x = y1 \vee x = y2$$

proved by binary case analysis

This justifies **Dyadic case rule in CL**: case $N_2; x$:

$$\frac{}{x = 0 \mid x = y1 \mid x = y2}$$

Complete induction proves the schema of **Dyadic induction**:

$$\phi[0] \wedge \forall x(\phi[x] \rightarrow \phi[x1]) \wedge \forall x(\phi[x] \rightarrow \phi[x2]) \rightarrow \phi[x]$$

This justifies **Dyadic induction rule in CL**: ind $N_2; x$

$$\frac{}{\phi[0]* \mid \begin{array}{c} \phi[x] \\ \phi[x1]* \end{array} \mid \begin{array}{c} \phi[x] \\ \phi[x2]* \end{array}}$$

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CL: Explicit

We explicitly define $x \star y = x \cdot 2^{|y|} + y$ and prove as theorems the clauses for \star by **dyadic recursion**:

$$x \star 0 = x$$

$$x \star y1 = (x \star y)1$$

$$x \star y2 = (x \star y)2$$

We can now prove properties of dyadic concatenation by **dyadic induction** with automatic uses of clauses.

We explicitly define

$$D_two(m) \leftrightarrow \exists m_1 \exists m_2 m = m_1 \mathbf{2} * m_2$$

Note that

$$m = m_1 \mathbf{2} * m_2 = (m_1 * \mathbf{02}) * m_2 = m_1 * \mathbf{2} * m_2$$

And prove as **theorems** its clauses by **dyadic recursion**:

$$D_two(m\mathbf{1}) \leftarrow D_two(m)$$

$$D_two(m\mathbf{2}) .$$

The relevance of D_two

This predicate is D_two important because PA proves

$$\exists n(2^{|x+1|} = n \star 2 \wedge \neg D_two(n))$$

i.e. $2^{|x+1|} = (1 \cdots 1)_2 \star 2$.

PA then proves the existence of **leading powers**:

$$D_two(m) \rightarrow \exists x \exists m_1 m = 2^{|x+1|} \star m_1$$

i.e. if m contains 2 then $m = \overbrace{(1 \cdots 1)}^n \star 2 \star m_1$ for some m_1, n .

PA also proves the existence of **trailing ones**

$$\exists m_1 \exists n (m = m_1 \mathbf{0} \star n \wedge \neg D_two(n))$$

i.e. $m = m_1 \mathbf{0} \star \overbrace{(1 \cdots 1)}^{|n|}$ for some m_1, n

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Lecture 9

Review: Dyadic concatenation

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CL: Explicit

$$x \mid y \leftrightarrow \exists z x \cdot z = y$$

$$Pow_2(p) \leftrightarrow \forall d ((d + 2) \mid p \rightarrow 2 \mid d)$$

$$2^{|x|} = \mu_p [Pow_2(p) \wedge x + 1 < 2 \cdot p]$$

$$x \star y = x \cdot 2^{|y|} + y$$

We have also defined

$$D_two(m) \leftrightarrow \exists n_1 \exists n_2 m = n_1 \star 2 \star n_2 ,$$

i.e. $\neg D_two(m)$ iff $m = 1 \star \dots \star 1$.

However, we have for $x > 0$ $2^{|x|} = \overbrace{1 \star \dots \star 1 \star 2}^{|x|}$

Also $2^{|0|} = 1$. Thus, for any x $2^{|x|} \dot{-} 1 = \overbrace{1 \star \dots \star 1}^{|x|}$ i.e.

$$\neg D_two(m) \leftrightarrow \exists x m + 1 = 2^{|x|} \leftrightarrow \exists x m = 2^{|x|} \dot{-} 1$$

Marker sequences

To every number m there are unique numbers n and b as well as numbers a_1, a_2, \dots, a_n of unique dyadic length such that

$$m = \overbrace{1 \star \dots \star 1 \star 2 \star 1 \star \dots \star 1 \star 2 \star \dots \star 1 \star \dots \star 1 \star 2}^n \star \overbrace{1 \star \dots \star 1}^b$$

This can be also written as:

$$m = 2^{|a_1+1|} \star 2^{|a_2+1|} \star \dots \star 2^{|a_n+1|} \star (2^{|b|} - 1)$$

Note that that $x + 1$ and $2^{|x+1|}$ have the same **length** because of **idempotency**: $2^{|x+1|} = 2^{|2^{|x+1|}|}$.

To every m and w of the same **length** there are **unique** $n, b, a_1, a_2, \dots, a_n$ s.t.:

$$w = (a_1 + 1) \star (a_2 + 1) \star \dots \star (a_n + 1) \star b$$

$$m = 2^{|a_1+1|} \star 2^{|a_2+1|} \star \dots \star 2^{|a_n+1|} \star (2^{|b|} - 1)$$

Square is an **injection**:

$$x^2 = y^2 \rightarrow x = y$$

and $\sqrt{2}$ is irrational: $y > 0 \rightarrow (\frac{x}{y})^2 \neq 2$ for $x, y \in \mathbb{Z}$. This is expressed in PA as

$$x^2 = 2 \cdot y^2 \rightarrow x = 0 \wedge y = 0$$

Hence

$$i \cdot x^2 = j \cdot y^2 \wedge x > 0 \wedge 0 < i, j \leq 2 \rightarrow i = j \wedge x = y$$

This property is used in the **uniqueness** property on the following slide.

Existence of splits

$$\exists w \exists i \exists m (t = w \star i \star m \wedge 2^{|w|} = 2^{|m|} \wedge 2^{|i|} \leq 2)$$

We can view this as every number t can be decomposed into

two numbers of length almost $\sqrt{|t|}$: $t = \begin{array}{|c|c|} \hline w & i \\ \hline \end{array} \star \begin{array}{|c|c|} \hline m & \\ \hline \end{array}$ and $i = 0, 1, 2$

Uniqueness of splits:

$$w_1 \star i_1 \star m_1 = w_2 \star i_2 \star m_2 \wedge 2^{|i_1|} \leq 2 \wedge 2^{|w_1|} = 2^{|m_1|} \wedge 2^{|i_2|} \leq 2 \wedge 2^{|w_2|} = 2^{|m_2|} \rightarrow w_1 = w_2 \wedge i_1 = i_2 \wedge m_1 = m_2$$

Definition of splits

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$$t \doteq \begin{bmatrix} v \\ m \end{bmatrix} \leftrightarrow t = v \star m \wedge \exists w \exists i (v = w \star i \wedge 2^{|m|} = 2^{|w|} \wedge 2^{|i|} \leq 2)$$

We can visualize the definition as: $t = \begin{array}{|c|c|} \hline w & i \\ \hline v \\ \hline \end{array} \star \begin{array}{|c|c|} \hline m & \\ \hline \end{array}$.

Splits **exist** $\exists v \exists m t \doteq \begin{bmatrix} v \\ m \end{bmatrix}$ and they are **unique**:

$$t \doteq \begin{bmatrix} v_1 \\ m_1 \end{bmatrix} \wedge t \doteq \begin{bmatrix} v_2 \\ m_2 \end{bmatrix} \rightarrow v_1 = v_2 \wedge m_1 = m_2$$

Adjustment of splits

If $t \doteq \begin{bmatrix} v \\ m \end{bmatrix}$ then $t = w \star i$ where $i = 0, 1, 2$ and

$$w = (a_1 + 1) \star (a_2 + 1) \star \dots \star (a_n + 1) \star b$$

$$m = 2^{|a_1+1|} \star 2^{|a_2+1|} \star \dots \star 2^{|a_n+1|} \star (2^{|b|} - 1)$$

The **tail** part such that: $b \star i \star (2^{|b|} - 1) \doteq \begin{bmatrix} b \star i \\ 2^{|b|} - 1 \end{bmatrix}$ is an **atom**.

We now define $Adj(t) \doteq \begin{bmatrix} w' \\ m' \end{bmatrix}$ removing the atom:

$$w' = (a_1 + 1) \star (a_2 + 1) \star \dots \star (a_n + 1)$$

$$m' = 2^{|a_1+1|} \star 2^{|a_2+1|} \star \dots \star 2^{|a_n+1|}$$

$$Adj(t) = s \leftrightarrow \exists v_1 \exists v_2 \exists m \exists b (t \doteq \begin{bmatrix} v_1 \star v_2 \\ m \mathbf{0} \star (2^{|b|} - 1) \end{bmatrix} \wedge 2^{|v_1|} = 2^{|m \mathbf{0}|} \wedge$$

$$s \doteq \begin{bmatrix} v_1 \\ m \mathbf{0} \end{bmatrix})$$

Note that: $v_1 = w'$, $m \mathbf{0} = m'$, and $v_2 = b \star i$.

Dyadic list concatenation and pairing

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We define the **concatenation**:

$$s \boxplus t = u \leftrightarrow \exists v_1 \exists v_2 \exists m \exists x (Adj(s) \dot{=} \begin{bmatrix} v_1 \\ m_1 \end{bmatrix} \wedge t \dot{=} \begin{bmatrix} v_2 \\ m_2 \end{bmatrix} \wedge \\ u \dot{=} \begin{bmatrix} v_1 \star v_2 \\ m_1 \star m_2 \end{bmatrix})$$

and the **pairing**:

$$x; t = ((x + 1) \star 2^{|x+1|}) \boxplus t$$

Thus

$$t \dot{=} \begin{bmatrix} v \\ m \end{bmatrix} \rightarrow s; t \dot{=} \begin{bmatrix} (x + 1) \star v \\ 2^{|x+1|} \star m \end{bmatrix}$$

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Nested iteration

Lecture 10

The schema of nested iteration

For every three-place function g , unary **measure** function μ , and a constant C giving a **recursion count** introduced into PA such that

$$\begin{aligned} &\vdash g(x, n, a) = v\mathbf{1} \rightarrow \mu(v) < \mu(x) \\ &\vdash 2 \mid g(x, 0, a) \end{aligned}$$

we wish to introduce a three-place **nested iteration** function g^* such that:

$$\begin{aligned} &\vdash g^*(x, n, a) = y \leftarrow g(x, n, a) = y\mathbf{0} \\ &\vdash g^*(x, n, a) = y \leftarrow g(x, n, a) = v\mathbf{1} \wedge n = m + 1 \wedge \\ &\quad g^*(v, C, 0) = w \wedge g^*(x, m, a \boxplus (w; 0)) = y \end{aligned}$$

The measure of this recursion is $\mu(x) \cdot C + n$ because $\mu(x) \cdot C + n > \mu(x) \cdot C + m$ (for the outer recursion) and $\mu(x) \cdot C + n > (\mu(v) + 1) \cdot C = \mu(v) \cdot C + C$ (for the inner recursion).

Example: Reduction of Fibonacci sequence to nested iteration

$F_0 = F_1 = 1$ and $F_{x+2} = F_x + F_{x+1}$. For this **explicitly** define $C = 2$, $\mu(x) = x$, and

$$g(x, n, a) = \begin{cases} (x \dot{-} 2)\mathbf{1} & \text{if } x \geq 2 \wedge n \geq 2 \\ (x \dot{-} 1)\mathbf{1} & \text{if } x \geq 2 \wedge n = 1 \wedge a = u; b \\ (u + z)\mathbf{0} & \text{if } x \geq 2 \wedge a = u; z; b \\ \mathbf{10} & \text{otherwise} \end{cases}$$

Since PA proves $2 \mid g(x, 0, a)$ and $g(x, n, a) = v\mathbf{1} \rightarrow v < x$ we can use the schema of iteration:

$$\vdash g^*(x, n, a) = v \leftarrow g(x, n, a) = v\mathbf{0}$$

$$\vdash g^*(x, n, a) = y \leftarrow g(x, n, a) = v\mathbf{1} \wedge n = m + 1 \wedge$$

$$g^*(v, C, 0) = w \wedge g^*(x, m, a \boxplus (w; 0)) = y$$

We can now explicitly define $F_x = g^*(x, C, 0)$ and prove in PA the **recurrences** for F .

We will code derivations of identities $g^*(\underline{x}, \underline{n}, \underline{a}) = \underline{y}$ where \underline{i} abbreviates $S^i(0)$.

The nodes in trees satisfy **local conditions**:

$$\frac{g^*(\underline{x}, \underline{n}, \underline{a}) = \underline{y}}{0 \mid 0} \quad \text{if } g(x, n, a) = y0$$

$$\frac{g^*(\underline{x}, \underline{n} + 1, \underline{a}) = \underline{y}}{g^*(\underline{v}, C, 0) = \underline{w} \mid g^*(\underline{x}, \underline{n}, \underline{a} \boxplus (w; 0)) = \underline{y}} \quad \text{if } g(x, n + 1, a) = v1$$

We **arithmetize** $g^*(x, n, a) = y$ as $Lb(x, n, a, y)$ where $Lb(x, n, a, y) = x; n; a; y$ and abbreviate this to $(\mathbf{g}^*(x, n, a) = \bullet y)$.

The predicate Ct

Computation trees are **flattened** to lists containing $(\mathbf{g}^*(x, n, a) = \bullet y)$ such that for $t = (\mathbf{g}^*(x, n, a) = \bullet y); s$ the list s contains the sons (if any).

$$Lcond(x, n, a, y, t) \leftrightarrow \exists v(g(x, n, a) = v\mathbf{0} \wedge v = y \vee$$

$$\exists m \exists w(n = m + 1 \wedge g(x, n, a) = v\mathbf{1} \wedge$$

$$(\mathbf{g}^*(v, C, 0) = \bullet w) \varepsilon t \wedge (\mathbf{g}^*(x, m, a \boxplus (w; 0)) = \bullet y) \varepsilon t))$$

$$Ct(s) \leftrightarrow \forall x \forall n \forall a \forall y \forall t ((\mathbf{g}^*(x, n, a) = \bullet y); t \sqsubseteq s \rightarrow Lcond(x, n, a, y, t))$$

We then prove

$$Ct(s) \wedge t \sqsubseteq s \rightarrow Ct(t)$$

$$Adj(s) = 0 \rightarrow Ct(s)$$

$$\forall x \forall n \forall a \forall y \ b \neq (\mathbf{g}^*(x, n, a) = \bullet y) \rightarrow Ct(b; s) \leftrightarrow Ct(s)$$

$$Ct((\mathbf{g}^*(x, n, a) = \bullet y); s) \leftrightarrow Lcond((x, n, a, y, s) \wedge Ct(s)$$

$$Ct(s) \wedge Ct(t) \rightarrow Ct(s \boxplus t)$$

Graph of nested iteration function

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CL: Explicit

We introduce a four-place predicate $\mathbf{g}^*(x, n, a) \doteq y$, which will be the **graph** of g^* :

$$\mathbf{g}^*(x, n, a) \doteq y \leftrightarrow \exists t C t((\mathbf{g}^*(x, n, a) = \bullet y); t) . \quad (1)$$

We have the following **recurrences**:

$$\vdash g(x, n, a) = v\mathbf{0} \rightarrow \mathbf{g}^*(x, n, a) \doteq y \leftrightarrow y = v$$

$$\vdash g(x, n+1, a) = v\mathbf{1} \rightarrow \mathbf{g}^*(x, n+1, a) \doteq y \leftrightarrow$$

$$\exists w(\mathbf{g}^*(v, C, 0) \doteq w \wedge \mathbf{g}^*(x, n, a \boxplus (w; 0)) \doteq y)$$

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By **measure induction** with $\mu(x) \cdot C + n$ we prove the **existence** and **uniqueness** which assert that $\mathbf{g}^*(x, n, a) \doteq y$ is indeed a **graph**:

$$\vdash \exists y \mathbf{g}^*(x, n, a) \doteq y$$

$$\vdash \mathbf{g}^*(x, n, a) \doteq y_1 \wedge \mathbf{g}^*(x, n, a) \doteq y_2 \rightarrow y_1 = y_2$$

We can thus introduce g^* by **minimization**:

$$g^*(x, n, a) = \mu_y[\mathbf{g}^*(x, n, a) \doteq y]$$

and prove the desired **recurrences**.

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Explicit clausal definitions

Lecture 11

Discriminators **without** patterns:

- **negation:** $A \mid \neg A$
- **test on zero:** $s = 0 \mid s > 0$
- **trichotomy:** $s < t \mid s = t \mid s > t$

Discriminators **with** patterns:

- **let:** $s = z$
- **binary:** $s = z0 \wedge z = 0 \mid s = z0 \wedge z > 0 \mid s = z1$
- **division by four:** $s = 4 \cdot z + v \wedge 0 \leq v \leq 3$
- **exactly** one alternative holds
- pattern variables **uniquely exist**

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- discr. on the **head of lists**: $Adj(s) = 0 \mid s = z; t$
- discr. on the **tail of lists**:
 $Adj(s) = 0 \mid s = t \boxplus (z; u) \wedge Adj(u) = 0$
-

The head discrimination used in a clausal definition:

$$Rev(t) = t \quad \leftarrow Adj(t)$$

$$Rev(x; t) = Rev(t) \boxplus (x; 0)$$

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general division: provided $\mathbf{t} > 0$ then $\mathbf{s} = \mathbf{t} \cdot \mathbf{z} + \mathbf{v} \wedge 0 \leq \mathbf{v} < \mathbf{t}$
special discrimination for g^* : provided PA proves

$$g(x, n, a) = v\mathbf{1} \rightarrow \mu(v) < \mu(x)$$

$$2 \mid g(x, 0, a)$$

we have $g(\mathbf{s}, \mathbf{n}, \mathbf{a}) = v\mathbf{0} \mid g(\mathbf{s}, \mathbf{n}, \mathbf{a}) = v\mathbf{1} \wedge \mathbf{n} = m + 1$

This is used in the clauses for g^* :

$$g^*(x, n, a) = v \quad \leftarrow g(x, n, a) = v\mathbf{0}$$

$$g^*(x, n+1, a) = g^*(x, n, a \boxplus (g^*(v, C, 0); 0)) \quad \leftarrow g(x, n+1, a) = v\mathbf{1}$$

General form of provable discriminators

We use **bold** variables \mathbf{x} for possibly empty sequences of variables x_1, \dots, x_n ,

we let $\exists \mathbf{x} \mathbf{D}$ to stand for $\exists x_1 \dots \exists x_n \mathbf{D}$ (n can be empty), and write $\mathbf{x} = \mathbf{y}$ for $x_1 = y_1 \wedge \dots \wedge x_n = y_n$.

Suppose that PA proves for $k \geq 1$:

$$\exists \mathbf{z}_1 \mathbf{D}_1[\mathbf{z}_1] \vee \exists \mathbf{z}_2 \mathbf{D}_2[\mathbf{z}_2] \vee \dots \vee \exists \mathbf{z}_k \mathbf{D}_k[\mathbf{z}_k]$$

$$\mathbf{D}_i[\mathbf{z}_i] \rightarrow \neg \mathbf{D}_j[\mathbf{z}_j] \quad \text{for all } 1 \leq i \neq j \leq k$$

$$\mathbf{D}_i[\mathbf{z}_i] \wedge \mathbf{D}_j[\mathbf{w}] \rightarrow \mathbf{z}_i = \mathbf{w} \quad \text{for all } 1 \leq i \leq k$$

This means that **exactly** one $\mathbf{D}_i[\mathbf{z}_i]$ holds with **uniquely** determined patterns \mathbf{z}_i .

We can then use

$$\mathbf{D}_1[\mathbf{z}_1] \mid \mathbf{D}_2[\mathbf{z}_2] \mid \dots \mid \mathbf{D}_k[\mathbf{z}_k]$$

as **provable discriminators** (we can even permit conditional discrimination).

In the following we will write $\mathbf{A}[\mathbf{x}; v]$ for a formula with the **output** variable v free and with other free variables among the **input** variables \mathbf{x}

A formula $\mathbf{A}[\mathbf{x}; v]$ is a **clausal formula** if \mathbf{A} is either of a form

- $\mathbf{s}[\mathbf{x}] = v$ or

-

$$\exists \mathbf{z}_1 (\mathbf{D}_1[\mathbf{x}, \mathbf{z}_1] \wedge \mathbf{A}_1[\mathbf{x}, \mathbf{z}_1; v]) \vee \cdots \vee \exists \mathbf{z}_k (\mathbf{D}_k[\mathbf{x}, \mathbf{z}_k] \wedge \mathbf{A}_k[\mathbf{x}, \mathbf{z}_k; v])$$

where $\mathbf{D}_1, \dots, \mathbf{D}_k$ is a provable discriminator and $\mathbf{A}_1, \dots, \mathbf{A}_k$ are clausal formulas.

Using clausal formulas in explicit definitions

In all clausal formulas $\mathbf{A}[\mathbf{x}; v]$ for every \mathbf{x} the output variable is uniquely determined, i.e. PA proves:

$$\exists v \mathbf{A}[\mathbf{x}; v]$$
$$\mathbf{A}[\mathbf{x}; v] \wedge \mathbf{A}[\mathbf{x}; w] \rightarrow v = w$$

We can thus explicitly introduce into PA a new function symbol f by:

$$f(\mathbf{x}) = v \leftrightarrow \mathbf{A}[\mathbf{x}; v],$$

or in CL by $f(\mathbf{x}) = \mu_v[\mathbf{A}[\mathbf{x}; v]]$.

The above equivalence is actually equivalent in PA to

$$f(\mathbf{x}) = v \leftarrow \mathbf{A}[\mathbf{x}; v]$$

because if in the direction (\rightarrow) $f(\mathbf{x}) = v$ holds then $\mathbf{A}[\mathbf{x}; w]$ for some w by existence and $w = f(\mathbf{x})$ by (\leftarrow) .

Unfolding the clausal formulas

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We now assign to every formula \mathbf{B} , every clausal formula $\mathbf{A}[\mathbf{x}; v]$ and a new function symbol f a **finite set of clauses** by the **unfolding** operator $U[f, \mathbf{B}, \mathbf{A}]$ such that:

- if $\mathbf{A} \equiv \mathbf{s}[\mathbf{x}] = v$ then $U[f, \mathbf{B}, \mathbf{A}] = \{f(\mathbf{x}) = v \leftarrow \mathbf{B} \wedge \mathbf{s}[\mathbf{x}] = v\}$ and if

$$\mathbf{A} \equiv \exists \mathbf{z}_1 (\mathbf{D}_1[\mathbf{x}, \mathbf{z}_1] \wedge \mathbf{A}_1[\mathbf{x}, \mathbf{z}_1; v]) \vee \dots \vee \exists \mathbf{z}_k (\mathbf{D}_k[\mathbf{x}, \mathbf{z}_k] \wedge \mathbf{A}_k[\mathbf{x}, \mathbf{z}_k; v])$$

then

$$U[f, \mathbf{B}, \mathbf{A}] = \cup_{1 \leq i \leq k} U[f, (\mathbf{B} \wedge \mathbf{D}_i[\mathbf{x}, \mathbf{z}_i]), \mathbf{A}_i[\mathbf{x}, \mathbf{z}_i; v]]$$

If $U[f, \top, \mathbf{A}[\mathbf{x}; v]] = \{\mathbf{C}_1, \dots, \mathbf{C}_m\}$ then we have

$$\vdash f(\mathbf{x}) = b \leftarrow \mathbf{A}[\mathbf{x}; v] \text{ iff } \vdash \mathbf{C}_1 \text{ and } \dots \text{ and } \vdash \mathbf{C}_m$$

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Recursive clausal definitions

Lecture 12

For recursive clausal definitions we extend **clausal formulas** for f to **recursive** ones with a new rule:

- $f(\mathbf{s}[\mathbf{x}]) = z \wedge \mathbf{A}_1[\mathbf{x}, z; v]$ is a recursive clausal formula if
 - \mathbf{s} is a sequence of terms not applying the function symbol f and,
 - $\mathbf{A}_1[\mathbf{x}, z; v]$, is a recursive clausal formula.

Our plan is to extend PA for **suitable** clausal formulas $\mathbf{A}[\mathbf{x}; v]$ by extension by definition of f such that

$$\vdash f(\mathbf{x}) = v \leftarrow \mathbf{A}[\mathbf{x}; v]$$

and then **unfold** this into provably equivalent **recursive clauses** for f

Iterated functions g_A

For every **recursive** clausal formula $\mathbf{A}[x; v]$ we will define an **explicit** clausal formula $\mathbf{B}[n, a, x; v]$ for an explicit definition of a three-argument function $g_A(x, n, a)$ (below only g) such that

$$\vdash g((x_1; \dots; x_n), n, a) = v \leftarrow \mathbf{B}[n, a, x; v]$$

(when x is not an n -tuple then g yields 0) and for an unary measure function μ and a numeral $C \equiv \underline{k}$ we have

$$\vdash g(x, n, a) = v \mathbf{1} \rightarrow \mu(v) < \mu(x)$$

$$\vdash 2 \mid g(x, 0, a)$$

Such an \mathbf{A} is called **regular**.

We then define the **iteration** function g^* and from it explicitly

$$f(\mathbf{x}) = g^*((x_1; \dots; x_n), C, 0)$$

PA will then prove the **recursive clauses unfolded** from \mathbf{A} .

By recursion on the structure of **A**. When **A** is:

- $\mathbf{s}[\mathbf{x}] = v$ then $\mathbf{B}[n, a, \mathbf{x}]$ is $(\mathbf{s}[\mathbf{x}])\mathbf{0} = v$.
- $\exists \mathbf{z}_1 (\mathbf{D}_1[\mathbf{x}, \mathbf{z}_1] \wedge \mathbf{A}_1[\mathbf{x}, \mathbf{z}_1; v]) \vee \dots \vee \exists \mathbf{z}_k (\mathbf{D}_k[\mathbf{x}, \mathbf{z}_k] \wedge \mathbf{A}_k[\mathbf{x}, \mathbf{z}_k; v])$ then \mathbf{B} is
 $\exists \mathbf{z}_1 (\mathbf{D}_1[\mathbf{x}, \mathbf{z}_1] \wedge \mathbf{B}_1[n, a, \mathbf{x}, \mathbf{z}_1; v]) \vee \dots \vee \exists \mathbf{z}_k (\mathbf{D}_k[\mathbf{x}, \mathbf{z}_k] \wedge \mathbf{B}_k[n, a, \mathbf{x}, \mathbf{z}_k; v])$
- $f(\mathbf{s}[\mathbf{x}]) = z \wedge \mathbf{A}_1[\mathbf{x}, z; v]$ we obtain $\mathbf{B}_1[n, a, \mathbf{x}, z; v]$ by IH and set \mathbf{B} to

$$\text{Adj}(a) = 0 \wedge (n = 0 \wedge (0)\mathbf{0} = v \vee n > 0 \wedge (\mathbf{s}[\mathbf{x}])\mathbf{1} = v) \vee \exists z \exists b (a = z; b \wedge \mathbf{B}_1[m, b, \mathbf{x}, z; v])$$

Clausal definitions of predicates P

are by **clausal** definitions of their **characteristic** functions f such that $\vdash f(\mathbf{x}) = v \leftarrow \mathbf{A}[\mathbf{x}; v]$ where the (recursive) clausal formula \mathbf{A} has the final **assignments** of the form $1 = v$ (true) or $0 = v$ (false) and **recursions** in it are always followed by **discriminations** on zero:

$$f(\mathbf{s}) = z \wedge (z = 0 \wedge \mathbf{A}_1 \vee z > 0 \wedge \mathbf{A}_2)$$

where neither \mathbf{A}_1 nor \mathbf{A}_2 contain z free.

We then explicitly **define** $P(\mathbf{x}) \leftrightarrow f(\mathbf{x}) > 0$ and prove in PA the (recursive) clauses for P obtained by unfolding of \mathbf{A} where:

$$f(\mathbf{x}) = v \leftarrow \mathbf{B} \wedge 1 = v \quad \Rightarrow \quad P(\mathbf{x}) \leftarrow \mathbf{B}$$

$$f(\mathbf{x}) = v \leftarrow \mathbf{B} \wedge 0 = v \quad \Rightarrow \quad \neg P(\mathbf{x}) \leftarrow \mathbf{B}$$

We also change all above unfolded recursions as follows:

$$[\neg]P(\mathbf{x}) \leftarrow \mathbf{B} \wedge f(\mathbf{s}) = z \wedge z = 0 \wedge \mathbf{A}_1 \Rightarrow [\neg]P(\mathbf{x}) \leftarrow \dots \neg P(\mathbf{s}) \wedge \mathbf{A}_1$$

$$[\neg]P(\mathbf{x}) \leftarrow \mathbf{B} \wedge f(\mathbf{s}) = z \wedge z > 0 \wedge \mathbf{A}_2 \Rightarrow [\neg]P(\mathbf{x}) \leftarrow \dots P(\mathbf{s}) \wedge \mathbf{A}_2$$